

HENNEPIN COUNTY
MINNESOTA

Agreement Number: A189188

Agreement Between

HENNEPIN COUNTY

and the

AMERICAN FEDERATION OF STATE, COUNTY AND MUNICIPAL EMPLOYEES

AFSCME Council 5 Local #1719, Adult Corrections Unit (RR)

January 1, 2019 - December 31, 2021

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ARTICLE 1 - PREAMBLE

This AGREEMENT, hereinafter referred to as the AGREEMENT, is entered into between the County of Hennepin, hereinafter called the EMPLOYER, and the American Federation of State, County and Municipal Employees, Council No. 5 and its affiliated locals as identified in the Article herein titled "Recognition," hereinafter called the UNION. The purpose of the AGREEMENT is to fulfill the mutual desire of the EMPLOYER and UNION to encourage and promote a culture of dignity and respect between the parties and a mutually satisfactory relationship with respect to the terms and conditions of employment in the county. The EMPLOYER and the UNION recognize that it is in the best interest of both parties that all dealings between them be characterized by mutual responsibility and respectful treatment. The parties hereto agree as follows:

ARTICLE 2 - RECOGNITION

Section 1. The EMPLOYER recognizes the UNION as the exclusive representative for the following unit of Hennepin County EMPLOYEES under the Minnesota Public Employment Labor Relations Act (M.S. 179A.01-179A.25).
Adult Corrections Unit (AFSCME District Council 5, Local 1719. Employees hired through programs such as the SCOPE program and/or under a TRAINEE plan in the following classifications are also represented by the union provided they also meet the requirements of M.S. 179A.

A unit of correctional employees employed by Hennepin County in the classifications of Correctional Officer (00520) and Senior Correctional Officer (00521) whose employment service is fourteen (14) hours or more per week and more than sixty-seven (67) work days per year excluding supervisory and confidential employees..

Section 2. The UNION recognizes the Labor Relations Representative designated by the Chief Labor Relations Officer, as the representative of the EMPLOYER and shall meet and negotiate exclusively with such representative, except as may be otherwise specifically provided in this AGREEMENT. No agreement establishing terms and conditions of employment or other matters made between the UNION and the EMPLOYER shall be binding upon the EMPLOYER unless the signature of the EMPLOYER's designated Labor Relations Representative is affixed thereon.

Section 3. The EMPLOYER, in accordance with the provisions of Minnesota Statute 179A.06, subd. 1, agrees not to enter into any agreements establishing terms and conditions of employment with members of the bargaining unit under jurisdiction of this AGREEMENT either individually or collectively which in any way conflict with the terms and conditions set forth in this AGREEMENT, except through the certified representative.

Section 4. Disputes which may occur between the EMPLOYER and the UNION over the inclusion or exclusion of job classes, with respect to the bargaining unit identified in Section 1 of this Article, may be referred to the Bureau of Mediation Services (hereinafter BMS) for determination in accordance with applicable statutory provisions. Determination by the BMS shall be subject to such review and determination as provided by statute and rules and regulations promulgated thereunder.

Section 5. If the EMPLOYER establishes new job classes within the bargaining unit identified in Section 1 of this Article, both parties agree to negotiate on wages. However, it is understood that all other terms and conditions of this AGREEMENT will apply.

ARTICLE 3 - DEFINITIONS

The following terms used in this AGREEMENT shall be defined as follows:

- A. **BASE PAY RATE:**
 - NON-EXEMPT** - The EMPLOYEE'S basic hourly rate exclusive of overtime premium, shift premium, retention or any other special allowances.
 - EXEMPT** - The EMPLOYEE'S basic rate of pay exclusive of overtime premium, shift premium, retention or any other special allowances.
- B. **B.F.O.Q.:** Bona fide occupational qualification (male/female). An occupational qualification or requirement based on gender (male/female) which is job related. Designation of individual positions as BFOQ male or female is subject to review and approval by the Hennepin County Department of Human Resources.
- C. **CLASS:** One or more positions sufficiently similar with respect to duties and responsibilities that the same descriptive title can be used with clarity to designate each position; that similar general qualifications are needed for the performance of duties; that comparable selection procedures may be used to recruit employees, and that the same schedule of compensation can be applied to all positions.
- D. **COMPENSATED PAYROLL STATUS:** Receipt of payment for scheduled time worked or for time on approved compensated leave.
- E. **CURRENT:** Shall mean the present time period as designated such as hour, day, month, year.
- F. **DAYS:** Unless otherwise indicated, means calendar days.
- G. **DEMOTION:** An involuntary change of an employee for just cause from a position in one classification to a position in another classification with less responsible duties and a lower salary range maximum.
- H. **DEPARTMENT:** The term department(s) as referenced in this AGREEMENT shall be those established by the EMPLOYER in its organizational structure. For reference purposes, a current description of such department(s) is included in Attachment B.
- I. **EMERGENCY:** An unforeseen crisis situation or condition so defined by the EMPLOYER.
- J. **EMPLOYEE:** A member of the exclusively recognized bargaining unit as identified in the Article herein titled "Recognition," who has been employed on the basis of REGULAR appointment to a continuing position.
- K. **EMPLOYER:** County of Hennepin or its designated representative(s).
- L. **FULL TIME:** A work schedule equivalent to an average of 2,080 REGULAR HOURS per year.
- M. **HOUSING SECTION:** Any of two sections of the Hennepin County Adult Corrections Facility: Men's or ACF North.
- N. **LAYOFF:** Separation from service with the EMPLOYER necessitated by lack of work, lack of funds or other reasons without reference to incompetence, misconduct or other behavioral considerations. When such separation is due to EMERGENCY circumstances, only a separation in excess of fifteen (15) calendar days shall be considered a layoff.
- O. **LEAVE OF ABSENCE:** An approved absence from work duty during a scheduled work period with or without compensation.
- P. **LIMITED DURATION EMPLOYEE:** Limited duration employees include the following three categories of temporary employees:

(a) temporary employees who are hired for six (6) months or less; such employees are not in the bargaining unit and are referred to as "Limited Duration 6 months or less"

(b) temporary employees whose work schedule is intermittent, non-continuous or irregular in nature regardless of the anticipated duration of the appointment. Such employees are referred to as "Limited Duration No Schedule" and are not in the bargaining unit unless or until they have worked the requisite number of hours and days under M.S. 179A as outlined in "Recognition" above. Limited Duration No Schedule employees who meet the hours requirement shall not participate in any benefit provided by this AGREEMENT with the exception of holiday premium pay and both night and weekend differential.

(c) an employee appointed to a temporary position that is expected to last more than six (6) months but less than two (2) years with standard hours in the payroll system of 20 or more hours per week; such employees are referred to as "Limited Duration with Benefits" and are in the bargaining unit;

- Q. **PART TIME EMPLOYEE:** An individual whose normal work schedule, as designated by the EMPLOYER, consists of fewer hours than the full-time schedule. A REGULAR PART-TIME EMPLOYEE working less than the full-time schedule shall not participate in any benefits provided by this AGREEMENT (other than holiday premium pay and night/weekend differential) unless their standard hours in the payroll system are 20 hours or more per week. Those working a schedule of twenty (20) hours or more per week shall participate in medical benefits. Other optional/elective benefits are also available at the 20+ and 30+ hour thresholds.

The EMPLOYER shall pay, however, the same health insurance premium amounts to employees who are scheduled to work at least twenty (20) hours per work week as it contributes to FULL-TIME REGULAR EMPLOYEES.

The holiday benefit for REGULAR PART-TIME EMPLOYEES shall be in the same ratio that the REGULAR PART-TIME EMPLOYEE'S actual hours worked bears to the full-time work schedule in the previous calendar quarter where the holiday falls.

R. **PROBATIONARY PERIOD:**

- (1) Newly Employed: The first twelve (12) calendar months service of newly hired or REHIRED employees. (The PROBATIONARY PERIOD for REHIRED employees may be modified or waived at the discretion of the EMPLOYER, provided such modification or waiver is stated in writing.)
- (2) **PROMOTIONAL and TRANSFER:** The first six (6) calendar months of service following a PROMOTIONAL appointment or a r TRANSFER.
- (3) **Extensions of PROBATIONARY PERIOD.** In all cases, PROBATIONARY PERIODS may be extended at the discretion of the EMPLOYER, provided such modification is communicated in writing to the employee and the union.
- (4) See Article 7, Grievance Procedure, regarding appeal rights related to PROBATION PERIOD being terminated.

S. **PROMOTION:** A change of an employee from a position in one classification to a position in another classification with more responsible duties and a higher salary range maximum.

T. **REGULAR EMPLOYEE:** A member of the exclusively recognized bargaining unit as identified in the "Recognition" Article who has completed the required PROBATIONARY PERIOD for a REGULAR appointment to a continuing position in the Classified service.

U. **REGULAR HOURS:** Time on COMPENSATED PAYROLL STATUS exclusive of overtime hours and exclusive of on-call hours.

V. **REGULAR RATE OF PAY.** The rate of pay that is required for the calculation of overtime under the Fair Labor Standards Act (FLSA) for NON-EXEMPT EMPLOYEES.

W. **REHIRE:** Appointment of a former regular or probationary EMPLOYEE

- X. **SEPARATION IN GOOD STANDING:** Any termination, resignation or separation other than dismissal for disciplinary reasons
- Y. **STEWARDS:** An employee designated by the UNION for the purposes of communicating with the EMPLOYER on matters of interest to either party.
- Z. **TRAINEE**
 - a. An "Internal" TRAINEE shall be an employee who holds a regular position with Hennepin County. An "Internal" TRAINEE will maintain all seniority, benefit levels and the right to return to a position in their pay class subject to the seniority rights and layoff provisions. "Internal" TRAINEES will be paid at the entry level TRAINEE salary of the class for which they are training, or at their existing salary, whichever is greater.
 - b. Each TRAINEE program shall specify the maximum length of time a TRAINEE may participate in the program.
 - c. "External" TRAINEES are persons hired into a TRAINEE position who do not currently hold a regular position within Hennepin County. "External" TRAINEES shall generally earn up to 90% of the salary of the position for which they are training, unless a different wage has been negotiated with the UNION.
 - d. "External" TRAINEES employed in a program six (6) months or longer in duration and who work half-time or more shall be eligible for Health and Life Insurance benefits unless a separate plan has been negotiated with the UNION.
 - e. "External" TRAINEES shall receive the same holiday pay benefit as REGULAR EMPLOYEES.
 - f. Failure to meet the standards of the TRAINEE program shall be considered just cause for termination of employment for "External" TRAINEES and just cause for termination from the TRAINEE program for "Internal" TRAINEES.
- aa. **TRANSFER:** A change by an employee from a position in the same class to a different county department/organizational unit; or a change from one position in one class to a position in another class in the same county department/organizational unit or another department/organizational unit.

ARTICLE 4 - UNION SECURITY

Section 1. In recognition of the UNION as the exclusive representative:

- A. The EMPLOYER shall once each payroll period deduct an amount sufficient to provide the payment of regular dues established by the UNION from the wages of all eligible employees authorizing, in writing, such deduction on a form designated and furnished for such purpose by the UNION. Only the duly certified exclusive representative shall be granted payroll deduction of dues for eligible employees covered by this AGREEMENT.
- B. The EMPLOYER shall remit such deductions each payroll period to the appropriate designated officer of the UNION with a list of the names of the eligible employees from whose wages deductions were made.
- C. The UNION shall certify to the EMPLOYER, in writing, the current amount of regular dues to be withheld each payroll period and any fair share assessments authorized by law.
- D. Dues deductions shall be cancelled by the EMPLOYER upon written notification by the Exclusive Representative.
- E. The EMPLOYER will provide to the UNION the add/drop report each pay period and the quarterly report electronically at no charge (monthly data is available on the quarterly reports). The EMPLOYER will charge the UNION \$25.00 for production of a report request that varies from this schedule.

Section 2. The UNION agrees to indemnify and hold the EMPLOYER harmless against any and all claims, suits, orders or judgments brought or issued against the EMPLOYER as a result of any action taken or not taken under the provisions of this Article.

Section 3. The UNION may designate certain employees from the bargaining unit to act as STEWARDS and shall, within thirty (30) days of the execution of this AGREEMENT and upon occurrence of any change thereafter, certify to the EMPLOYER a current list of business representatives, officers and STEWARDS who are authorized by the UNION to investigate and

present grievances to the EMPLOYER. The EMPLOYER agrees to recognize such representatives for the purpose of investigating and presenting grievances to the EMPLOYER subject to the following stipulations:

- A. There shall be not more than four (4) bargaining unit employees designated as STEWARDS, subject to alteration upon mutual agreement between the UNION and the EMPLOYER.
- B. Not more than one employee representative (STEWARD or officer) will be authorized time off with pay to investigate or present any one grievance matter to the EMPLOYER. Nothing in this clause is intended to limit the number of union STEWARDS who may request to use their own time (vacation, PTO, compensatory, or time without pay) to investigate and present grievances.
- C. Bargaining unit employee STEWARDS and officers may leave their work stations with the concurrence of their designated supervisor(s), and they shall notify their designated supervisor(s) upon return to their work stations. Concurrence of the supervisor to leave a work station for union business will be limited to the investigation and presentation of grievances to the EMPLOYER.
- D. Employee representatives of the UNION shall receive paid time off to participate in joint labor-management committee meetings and meet and confer sessions with the EMPLOYER. Time off with pay under this subsection shall be limited to those activities specifically initiated and/or approved by the EMPLOYER and occurring during the employee's regularly scheduled work time. (See also Article 30 - Meet and Confer, Section 1 regarding number of participants).

Section 4. Non-employee business representatives of the UNION as previously designated to the EMPLOYER as provided herein may, with concurrence of the EMPLOYER, come on the premises of the EMPLOYER for the purpose of investigating and presenting grievances.

Section 5. The UNION agrees there shall be no solicitation for membership, signing up of members, collection of initiation fees, dues, fines or assessments, meetings or other union activities on the EMPLOYER's time.

Section 6. The UNION may use the EMPLOYER's facilities for UNION business with prior approval of the EMPLOYER. The UNION shall have access to the EMPLOYER's internal mail distribution system and electronic (e-mail) system consistent with the practice existing on the effective date of this agreement. However, the UNION agrees to request prior authorization from the EMPLOYER's Labor Relations Department prior to use of the e-mail system for any mass communication.

Section 7. The EMPLOYER agrees to allow the UNION to use designated bulletin boards for the purpose of posting notices of union meetings, union elections, union election returns, union appointments to office, union recreational and social affairs, arbitration awards, decisions of the BMS and the courts, and other items specifically approved by the EMPLOYER. It is agreed that items which reflect negatively on the UNION, employees, or the EMPLOYER shall not be posted. All posted materials must be union publications or legibly signed by an authorized union representative.

Section 8. Employees have the right to join or to refrain from joining the UNION. Neither the EMPLOYER nor the UNION shall discriminate against or interfere with the rights of employees to become or not to become members of the UNION and further, there shall be no discrimination or coercion against any employee because of union membership or non-membership. The UNION shall, in its responsibility as exclusive representative of the employees, represent all employees without discrimination, interference, restraint or coercion.

Section 9. Nothing in this AGREEMENT shall be construed to affect the status of veterans in contravention of existing veterans preference laws relating to the employment, discharge or promotion of veterans.

Section 10. The EMPLOYER shall provide the UNION with a report each payroll period which shall identify new hires by name, job class and bargaining unit.

Further, the EMPLOYER shall refer newly hired AFSCME employees who attend the EMPLOYER's New Employee Academy program to a UNION orientation session which shall follow the EMPLOYER's orientation session, be limited to thirty (30) minutes in length and held in the same room as the EMPLOYER's New Employee Academy.

ARTICLE 5 - EMPLOYER AUTHORITY

The UNION recognizes the right of the EMPLOYER to operate and manage its affairs in all respects in accordance with applicable laws and regulations of appropriate authorities. All rights and authority which the EMPLOYER has not officially abridged, delegated or modified by this AGREEMENT are retained by the EMPLOYER.

ARTICLE 6 – SENIORITY/ LAYOFF

- Section 1.** Seniority is an employee's length of service for the EMPLOYER from the most recent date of employment, or REHIRE.
- A. Seniority is not interrupted during the period an employee is on approved leave, including leave for UNION business or layoff, if the employee returns to active work status having complied with all the terms and conditions of this AGREEMENT and the conditions the EMPLOYER established in approving the leave.
 - B. If in the event of layoff or recall from layoff two or more employees possess the same seniority date, seniority in such cases shall be in order of the date of acquisition of regular status in the class from which layoff is to occur or has occurred. If the tie cannot be broken by this method, seniority shall be determined by the last four digits of the employee's Social Security Number, with the employee having the highest such number being the more senior.
 - C. Seniority in work classes covered by this AGREEMENT shall be retained and continue to accrue during the PROBATIONARY PERIOD if an employee leaves a unit covered by this AGREEMENT for another position with the EMPLOYER because of PROMOTION, DEMOTION or TRANSFER.
 - D. An employee appointed to a regular position in the same job class and department as they were employed as a limited duration six months or less employee or as a Limited Duration with Benefits employee shall have seniority for purposes of layoff and recall from the employee's most recent date of hire as a LIMITED DURATION EMPLOYEE provided such limited duration and regular appointments are contiguous and sequential. (Limited Duration No Schedulee employees whose work schedule is intermittent, non-continuous or irregular in nature are excluded from this provision).
- Section 2.** Seniority rights under this AGREEMENT shall terminate under the following conditions:
- A. Termination of employment.
 - B. Layoff in excess of a period equal to an employee's length of employment but not more than three years.
 - C. Failure to return to work in accordance with the terms and conditions of an approved LEAVE OF ABSENCE.
- Section 3.** Seniority lists shall contain the names of bargaining unit employees by class arranged in order of most to least senior.
- A. Upon request of the UNION, but not more often than once each calendar year, the EMPLOYER shall establish a seniority list for the designated class(es) and unit. A seniority list shall also be established for affected class(es) within the unit at least ten (10) calendar days prior to the effective date of a layoff. A copy of seniority lists when established shall be furnished to the UNION's designated representative electronically.
 - B. Employees and the UNION shall be obligated to notify the EMPLOYER by certified mail of any error in the seniority list within thirty (30) days of the date the seniority list is furnished to the UNION's designated representative. Within thirty (30) days of notification of errors, the EMPLOYER shall correct errors in the seniority list and furnish the corrected list to the UNION's designated representative. If no error is reported within thirty (30) days after the date the seniority list is furnished or within thirty (30) days after the date a correction in such list is furnished to the UNION's designated representative, the list will stand correct as posted.
- Section 4.** Except in those instances where senior employees are not qualified to perform remaining work duties, seniority shall determine the order of:
- A. Layoff which shall be in inverse order of seniority within each work classification and department*, provided that any employee who is to be laid off and has previously served in a lower work classification covered by this AGREEMENT may request to exercise seniority rights in such lower classification or its designated equivalent.
 - B. Recall from layoff which shall be in order of seniority within each work classification provided that if an employee does not return to work upon recall, as directed by the EMPLOYER or on an extended date mutually acceptable to the employee and EMPLOYER, he/she shall automatically have terminated his/her employment.
 - C. If a senior employee requests exercise of seniority rights over a less senior employee for purposes of layoff, the senior employee, as a condition of the EMPLOYER granting such a request, must accept the work schedule (days of week, work shift, and number of hours per shift) and work location of the least senior employee. In situations when more than one (1) employee in a job class is simultaneously requesting to exercise seniority rights to

positions in the same lower job class, the EMPLOYER will make reasonable efforts to match scheduled hours by seniority.

Section 5. The EMPLOYER shall issue written notice of layoff or recall from layoff to affected employees at least ten (10) calendar days in advance of the effective date. Such notice shall be made by certified mail to the employee's last known address as shown by the EMPLOYER's records except when the employees are present at the work site to receive notice.

Section 6. Employees on layoff will be recalled to fill vacancies in other classes and departments for which qualified, provided they may not exercise seniority rights to create such vacancies. Such employees may waive the recall if the salary rate offered by the EMPLOYER for the position to which recalled is more than twenty (20%) percent below the salary rate of the employee when laid off. The name of an employee so recalled will remain on the layoff list for the class from which laid off, subject to the conditions and limitations set forth in this AGREEMENT.

The parties agree to provide employees who are laid off and in the "Alternative Placement Process" the opportunity to state their preference when there is more than one placement opportunity available to them. (The County would be obligated to take the employee's preference into consideration but would not be required to grant the employee's preference).

The parties agree that if there are alternative placement opportunities available and placement is not accomplished within two (2) weeks of the layoff notice, the UNION may appeal the matter to a person designated by the County Administrator for expediting. If placement is not accomplished within 3 weeks of the layoff notice, and if there is an alternative placement opportunity available, the employee will be compensated at the rate of the available position subject to offset by any EMPLOYER derived earnings (i.e. temporary or intermittent wages, or Reemployment Insurance).

Section 7. When it is necessary for the EMPLOYER to assign/re-assign an employee to a different work assignment, the EMPLOYER will provide such employee with two (2) weeks advance notice when practicable.

ARTICLE 7 - GRIEVANCE PROCEDURE

Section 1. A grievance shall be defined as a dispute or disagreement raised by an employee against the EMPLOYER involving the interpretation or application of the specific provisions of this AGREEMENT.

Section 2. It is specifically understood that any matters governed by statutory provisions, County Human Resources Rules, or departmental personnel rules, except as expressly provided for in this AGREEMENT, shall not be considered grievances under this AGREEMENT. If by law an appeal procedure, other than the grievance procedure contained herein, is available for resolution of a dispute arising from any provision covered by this AGREEMENT and the aggrieved party pursues the dispute through such appeal procedure provided by law, the aggrieved employee(s) shall be precluded from making an appeal under this grievance procedure.

Except that with respect to statutes under the jurisdiction of the United States Equal Employment Opportunity Commission or Minn. Stat. §§ 363.01 - .20, an employee pursuing a statutory remedy is not precluded from also pursuing an appeal under this grievance procedure.

Section 3. **GRIEVANCE PROCEDURE:** Grievances, as herein defined, shall be processed in the following manner:

Step 1: INFORMAL/ MANAGER. An employee or authorized representative claiming a violation concerning the interpretation or application of the express provisions of this AGREEMENT shall within twenty-one (21) calendar days after event giving rise to the grievance, present such grievance, in writing, to the Division Manager or his/her designated representative. The written grievance shall set forth the nature of the grievance, the facts on which it is based, the provisions of the AGREEMENT allegedly violated, and the relief requested. The Division Manager and/or his/her designated representative shall discuss the grievance with the UNION within fourteen (14) calendar days after the date presented at a time mutually agreeable to the parties. If the grievance is resolved as a result of such a meeting, the settlement shall be reduced to writing and signed by the Division Manager or his/her designated representative and the

union representative. If no settlement is reached, the Division Manager or his/her designated representative shall give written answer to the union representative within fourteen (14) calendar days following their meeting.

Step 2: FORMAL. If the grievance is not satisfactorily resolved in Step 1 and the UNION wishes to appeal the grievance to Step 2 of the grievance procedure, it shall be referred, in writing, to the Department Head or his/her designated representative and to the Labor Relations Director or his/her designee within fourteen (14) calendar days after the Division Manager's answer as provided for in Section 3, Step 1. The Department Head and/or his/her designated representative shall discuss the grievance with the UNION within fourteen (14) calendar days after the date presented at a time mutually agreeable to the parties. If the grievance is resolved as a result of such a meeting, the settlement shall be reduced to writing and signed by the Department Head or his/her designated representative the union representative. If no settlement is reached, the Department Head or his/her designated representative shall give written answer to the union representative within fourteen (14) calendar days following their meeting.

Step 3: MEDIATION. If the grievance is not settled in accordance with the procedure set forth in Step 1 or Step 2, it may be submitted to mediation provided that the UNION and the Labor Relations Director or his/her designee, by mutual agreement, jointly petition the Minnesota Bureau of Mediation Services (BMS) for assistance in resolving the grievance within ten (10) working days after the employee and UNION's receipt of the EMPLOYER's written answer in Step 2. The parties shall have thirty (30) calendar days in which to resolve the grievance through mediation.

Section 4. ARBITRATION: If the grievance is not settled in accordance with the foregoing procedure, the UNION may refer the grievance to arbitration within fourteen (14) calendar days after the employee and UNION's receipt of the EMPLOYER's written answer in Step 2. The parties shall mutually agree upon an arbitrator. If the parties are unable to agree on an arbitrator, the selection of an arbitrator shall be made in accordance with the "Rules Governing the Arbitration of Grievances" as established by the Public Employment Relations Act and administered by the State of Minnesota Bureau of Mediation Services. The arbitrator shall hear the grievance at a scheduled meeting subject to the availability of the EMPLOYER and the union representatives. The arbitrator shall notify the union representative and the EMPLOYER of his/her decision within thirty (30) calendar days following the close of the hearing or submission of briefs by the parties, whichever is later, unless the parties agree to an extension thereof. The fees and expenses for the arbitrator's services and proceedings shall be borne equally by the EMPLOYER and the UNION, provided that each party shall be responsible for compensating its own representatives and witnesses. Employees who serve as such representatives or witnesses shall not be compensated at a rate in excess of their BASE PAY RATE. If either party desires a verbatim record of the proceedings, it may cause such a record to be made, provided it pays for the record. If both parties desire a verbatim record of the proceedings, the cost shall be shared equally. The arbitrator shall not have the right to amend, modify, nullify, ignore, add to, or subtract from the provisions of this AGREEMENT. The arbitrator shall consider and decide only the specific issue(s) submitted, in writing, by the EMPLOYER and the UNION, and shall have no authority to make a decision on any other issue(s) not so submitted. The arbitrator shall be without power to make decisions contrary to or inconsistent with or modifying or varying in any way the application of laws, rules or regulations having the force and effect of law. The decision shall be based solely upon the arbitrator's interpretation or application of the express terms of this AGREEMENT and on the facts of the grievance presented. If the arbitrator determines that the grievance is covered by law or statute, or not covered by the express provisions of this AGREEMENT, the arbitrator shall refer the grievance back to the parties without decision or recommendation. The parties may, by written agreement, agree to submit more than one grievance to the arbitrator provided that each grievance will be considered as a separate issue and each on its own merits.

Section 5. If a grievance is not presented within the time limits set forth above, it shall be considered waived. If a grievance is not appealed to the next step within the specified time limit or any agreed extension thereof, it shall be considered settled on the basis of the EMPLOYER's last answer. If the EMPLOYER does not answer a grievance or an appeal thereof within the specified time limits, the employee/UNION may elect to treat the grievance as denied at that step. The UNION may then immediately appeal the grievance to the next step. The time limit in each step may be extended by mutual written agreement of the EMPLOYER and union representatives involved in each step.

Section 6. Employees serving an initial PROBATIONARY PERIOD shall have right of appeal only through Step 2 of this grievance procedure except for a grievance alleging an error in salary or benefits due.

- Section 7.** A REGULAR EMPLOYEE serving a PROBATIONARY PERIOD who is returned to their previous classification upon failure to pass probation shall have right of appeal only to Step 2 of this grievance procedure. When feasible, a demoted employee shall be returned to the geographic area from which originally promoted.
- Section 8.** LIMITED DURATION with benefits employees and employees serving in the unclassified service shall have right of appeal only through Step 2 of this grievance procedure.
- Section 9.** The grievant shall not suffer loss of regular pay while the grievant's presence is necessary at a grievance presentation meeting with the EMPLOYER or an arbitrator, except where such grievance presentation meeting or arbitration hearing occurs during the period the grievant has been removed from his/her job for disciplinary reasons. The time spent by the grievant in an arbitration hearing shall not be counted as time worked for overtime eligibility.

ARTICLE 8 - NO STRIKE-NO LOCKOUT

- Section 1.** In recognition of the provisions included in this AGREEMENT for a grievance procedure to be used for resolution of disputes, the UNION agrees that neither the UNION, its officers or agents, nor any of the employees covered by this AGREEMENT will engage in, encourage, sanction, support or suggest any strikes, slow downs, mass absenteeism, mass use of sick leave, the willful absence from one's position, the stoppage of work or the abstinence in whole or in part of the full, faithful and proper performance of the duties of employment. Any violation of any provisions of this Article may be cause for disciplinary action including discharge.
- Section 2.** No lockout shall be instituted by the EMPLOYER during the life of this AGREEMENT provided Section 1 of this Article is not violated by employees or the UNION.

ARTICLE 9 - WORK SCHEDULES/PREMIUM PAY

- Section 1.** This Article is intended only to define the normal hours of work and to provide the basis for the calculation of premium pay, if any. Nothing shall be construed as a guarantee of hours of work per day or per week.
- Section 2.** A payroll period is eighty (80) hours of work within a fourteen (14) calendar day period.
- Section 3.** Work shifts, work breaks, staffing schedules and the assignment of employees, shall be established by the EMPLOYER.
- A. Where staffing schedules are routinely subject to change, a staffing schedule showing the regular work days and work hours of all employees shall normally be prepared and posted at least fourteen (14) calendar days in advance of their effective date. Such staffing schedules, once posted, will only be modified when necessitated by unscheduled employee absences and unscheduled changes in work load. A temporary change in the staffing schedule of a PART-TIME or LIMITED DURATION EMPLOYEE is not a staffing schedule change for purposes of this Article. Employees may mutually agree to exchange days, shifts or hours of work with the approval of their supervisor provided such change does not result in the payment of overtime or accrual of compensatory time. Hours worked as part of a shift exchange shall be excluded in the calculation of hours for which the employee would otherwise be entitled to overtime compensation, and that each employee will be credited as if they had worked their normal schedule for that shift.
- B. If changes concerning length and/or start and end of shifts for a work unit are to be made in existing full-time shifts, the EMPLOYER shall notify the UNION in advance of implementing the proposed changes and will provide the UNION the opportunity to meet and confer with respect to the proposed changes and their effect on employees. Such meet and confer sessions shall be conducted prior to the implementation of the change, except where an EMERGENCY or other unpredictable condition makes this impractical.
- C. Employees shall normally be granted two (2) paid fifteen (15) minute relief periods during each full work shift of eight hours or more at times designated by the EMPLOYER.
- Section 4.** Worked hours (including approved paid vacation/PTO) in excess of the designated work shift (8 hours or more, e.g., such as 10 or 12 hour shifts) or an averaged eighty (80) hours per payroll period shall be overtime and compensated at

one and one-half (1 1/2) times the employee's REGULAR RATE OF PAY or one and one-half (1 1/2) hours compensatory time for each hour worked subject to the provision that no employee shall be eligible for overtime premium unless prior approval of the overtime work was granted by the EMPLOYER.

For employees with fewer than two (2) years of service, overtime compensation shall be provided in the form of either cash payment or compensatory time as selected by the employee. This selection can be made on a per pay period basis. If the employee does not make a selection, such compensation will be paid in cash. Such employees with fewer than two (2) years of service can carry no more than 48 hours of compensatory time.

For employees with two (2) or more years of service, overtime compensation shall be provided in the form of either cash payment or compensatory time as determined by the EMPLOYER, provided employees shall have the right to indicate their preference to the EMPLOYER on a per pay period basis..

Section 5. Employees called to work shall be compensated for the period worked but not less than three (3) hours. An extension or early report to a regularly scheduled shift for duty does not qualify the employee for the three (3) hour minimum.

Section 6. The EMPLOYER shall give consideration to equalizing the distribution of overtime. The EMPLOYER will post monthly within each work unit lists allowing employees to volunteer for both scheduled and non-scheduled overtime. Overtime opportunities shall when practicable be distributed from the voluntary lists on a rotating basis in order of Adult Corrections Facility seniority given the necessity to maintain continuing institutional operations. Officers names shall only go to the bottom of the voluntary list after the officer has worked eight (8) hours of voluntary overtime. Overtime not covered through the voluntary overtime lists will be assigned to that officer(s) with the least Adult Corrections Facility seniority in inverse order and in rotation progressing to the officer(s) with the most Adult Corrections Facility seniority subject to the necessity to maintain continuing institutional operations. The voluntary overtime list shall be zeroed out and reposted each month.

Except in the case of an institutional emergency, Officers on pre-approved vacation are exempt from working mandatory overtime during their vacation. For the purpose of this section, vacation shall be defined as the end of an employee's last scheduled shift to the beginning of the officers next scheduled shift. Scheduled days off, taken in conjunction with vacation, will be considered part of the officer's vacation for the purposes of this section.

Section 7. The EMPLOYER shall designate work units. Assignment of employees to designated work units shall be at the discretion of the EMPLOYER.

Section 8. When the EMPLOYER determines changes in work schedules are necessary, at least twenty-four (24) hours advance notice shall be given to employees and posted whenever practicable. Except when not practicable, should it become necessary to change work schedules without twenty-four (24) clock hours prior notice, the EMPLOYER shall pay for those hours worked outside of the employee's regular work schedule hours at a rate of one and one-half times his/her regular base pay rate.

Section 9. Employees shall be available for overtime work, holidays and night shifts when assigned to such unless excused by the EMPLOYER.

Section 10. The BASE PAY RATE or premium compensation shall not be paid more than once for the same hours worked under any provisions of this AGREEMENT

Section 11. Shift Differential
A shift differential of **\$1.00** per hour shall be paid to all employees who work on an assigned shift where at least five (5) hours of the shift occur between 3 p.m. and 6 a.m. Such shift differential shall be paid in addition to other forms of premium compensation for which the employee qualifies.

Section 12. Weekend Differential.
A weekend differential of \$1.00 per hour shall be paid to all employees required to work on any shift(s) that start on either Saturday or Sunday. Such weekend differential shall be paid in addition to other forms of premium compensation for which the employee qualifies.

- Section 13.** Employees expressly assigned by the EMPLOYER to remain in "On Call-Off Premises" status shall receive \$2.60 for each hour so assigned.
- Section 14.** Should the EMPLOYER intend to institute flex time, job sharing or work tasking, it shall first meet and confer on any of the above-mentioned items with the UNION.
- Section 15.** Work out of Class. Consistent with the HR Rules, when an employee is expressly assigned to perform the duties of a position allocated to a different classification that is temporarily unoccupied, and such assignment is for forty (40) or more continuous REGULAR HOURS, the employee shall be paid for all such hours at the employee's current salary rate when assigned to work in a lower or equal class or at a rate within a higher range which is equal to the minimum rate for the higher class or a minimum of three (3) percent higher than the employee's current salary, whichever is greater. See H.R. Rules for specific compensation practices. In order to qualify for such higher rate, the employee must perform that work which distinguishes the higher classification from the employee's regular class in terms of level of responsibility, types of duties, and/or quality and quantity. Rotation of employees through a position in a higher class for the purpose of avoiding payment of out of class pay is a violation of the intent of the out of class pay agreement.
- Section 16.** Work shifts shall be considered part of the day and date on which they begin.
- Section 17.** Foreign / Sign Language Stipends.
- Bargaining unit employees who are specifically required or authorized by the EMPLOYER to use foreign or sign language skills shall be compensated for such work according to the following terms and conditions:
- A.. Certified and Regular Bi-Lingual Stipend. EMPLOYEES who are regularly required to use foreign language or sign language skills in addition to other job duties shall receive a salary stipend of \$47.50 per payroll period. This stipend will be in effect for all compensated hours including compensated leaves.
 - B. Occasional Bi-Lingual Pay. Employees who provide foreign language or sign language skills on an occasional or irregular basis at the request of the EMPLOYER shall receive \$9.50 in addition to their regular salaries for any work day on which such services are performed. This additional compensation shall not exceed \$47.50 for any one payroll period.
- Section 18.** An employee expressly designated by the EMPLOYER to be in charge of a Section (i.e., Men's or Women's Section) of the Adult Corrections Facility in the absence of a supervisor normally assigned to that Section shall receive a differential of \$1.50 for each worked hour so designated.
- An employee expressly designated by the EMPLOYER to be in charge of an area of a Section (i.e., a cellblock within a particular section) in the absence of the supervisor normally assigned to that area shall receive a differential of \$1.00 for each worked hour so designated.
- Section 19.** In the event the EMPLOYER exercises its discretion to close a department, work site or workplace due to an EMERGENCY, including inclement weather, employees who were scheduled to work but could not due to such EMPLOYER decision may use accrued leave (vacation/PTO, sick leave, compensatory time, deferred holiday) to cover the hours missed. Further, with the approval of the EMPLOYER, an employee may be allowed to make up the time by working additional hours. Such approved additional hours may be assigned in a work location which is different from the employee's regular work location, if practicable.

ARTICLE 10 - HOLIDAYS

Eligibility for Holidays. Active benefit-eligible FULL TIME employees are awarded eight (8) hours of holiday in the pay period prior to the designated holiday, regardless of shift length. Active benefits-eligible part-time employees are awarded prorated holiday hours for designated holidays. Employees must remain on active status through the designated holiday.

Section 1. Holiday Benefit

Eligible employees as described above shall be entitled to compensated time off for designated holidays. Such compensation is referred to as the "holiday benefit" and is separate from compensation an employee receives if they also work on a designated holiday. See Section 2 below.

Designated holidays shall be eight (8) hours each for FULL TIME employees, regardless of shift length and are as follows:

New Years Day	January 1
Martin Luther King Day	Third Monday in January
Presidents Day	Third Monday in February
Memorial Day	Last Monday in May
Independence Day	July 4
Labor Day	First Monday in September
Veterans Day	November 11
Thanksgiving Day	Fourth Thursday in November
Thanksgiving Friday	The day immediately following Thanksgiving Day
Christmas Eve Day	The work day immediately preceding the Christmas holiday
Christmas Day	December 25

Except for operations which are seven (7) days per week and twenty-four (24) hours per day, when one of the designated holidays listed above falls on Sunday, the following day (Monday) shall be considered the **observed** holiday for eligible employees, or when such holiday falls on Saturday, the preceding day (Friday) shall be considered the **observed** holiday for eligible employees. Any eligible employee, regardless of his/her work schedule, shall receive the same number of holidays as an eligible employee whose normal work week is Monday through Friday.

Employees who are not assigned to work on a designated holiday. Active benefit-eligible employees who are not assigned to work a designated holiday are entitled to receive compensation (i.e., the "holiday benefit") on the designated holiday by using awarded holiday hours. These hours may be supplemented with vacation, PTO, compensatory time special leave without pay and/or other leave without pay if the awarded holiday hours do not cover the employee's regular shift (i.e., a 10 hour shift or a 8 hour shift for part-time employees). Employees whose assigned schedule does not include the holiday may cash out holiday hours or save the hours for future use.

Section 2. Premium Pay for Working on a Holiday

Employees who are assigned to work a holiday with the exception of Christmas Eve Day shall receive compensation of one and one-half (1 1/2) times their REGULAR RATE OF PAY for hours worked on the holiday. Eligible employees who work on a holiday receive their pay for working on the holiday and may save their holiday benefit hours (8 hours for FULL-TIME employees) for use at another time and/or may cash out the holiday benefit hours.

Eligible employees who are assigned to work the Christmas Eve holiday shall receive compensation of one (1) times their REGULAR RATE OF PAY for hours worked on that holiday. Compensation for holiday hours assigned/worked shall be provided either in compensated time off or cash payment as approved by the EMPLOYER. Eligible employees who work on Christmas Eve receive their pay for working and may save their holiday benefit hours (8 hours for FULL-TIME employees) for use at another time and/or may cash out the holiday benefit hours.

- Section 3.** Holidays which occur within an employee's approved and compensated vacation/PTO or sick leave period will not be chargeable to the employee's vacation/PTO or sick leave time.
- Section 4.** Religious Holidays. Employees may observe a religious holiday on days which do not fall on a legal holiday. Observance of such religious holiday shall be charged against accumulated vacation/PTO, compensatory leave or taken as leave without pay. The EMPLOYER may arrange to have the employee work an equivalent number of hours to the hours taken for such holiday if arrangements can be made for the employee to work another day. The employee must notify the EMPLOYER at least ten (10) days in advance of the religious holiday of his/her intent to observe such holiday. The EMPLOYER may waive this ten (10) day requirement if the EMPLOYER determines that absence of such employee will not substantially interfere with the department's function.

ARTICLE 11A - VACATIONS

- Section 1.** All full-time employees who choose not to participate in paid time off (PTO) shall be eligible for vacation at their current BASE PAY RATE.
- In all cases, if an employee joins the bargaining unit having participated in paid time off (PTO), such employee shall retain paid time off (PTO) at their existing PTO schedule 1 or 2, which is dependent on their date of hire.
- Section 2.** FULL-TIME employees who have chosen not to participate in paid time off (PTO) shall accrue vacation in accordance with the following schedule:
- | <u>Total Length of Service Since Most Recent Date of Hire</u> | <u>Annual Vacation Accrual Rate</u> |
|---|-------------------------------------|
| Less than six (6) months | 64 hours (8 days) |
| More than six (6) months but less than five (5) years | 96 hours (12 days) |
| More than five (5) years but less than eight (8) years | 120 hours (15 days) |
| More than eight (8) years but less than twelve (12) years | 144 hours (18 days) |
| More than twelve (12) years but less than eighteen (18) years | 160 hours (20 days) |
| Over eighteen (18) years | 184 hours (23 days) |
- Section 3.** Vacation shall not accumulate in excess of two hundred eighty (280) hours. The EMPLOYER shall not be responsible for managing an employee's vacation balance so as to ensure no loss of the benefit because the balance is at or near the two-hundred-eighty (280) hour limit. Correspondingly, the EMPLOYER will not force employees to take vacation for such purpose.
- Section 4.** Requests for vacation must be submitted to the employee's designated supervisor at least forty-eight (48) hours in advance of the absence requested and are subject to the supervisor's written approval. The forty-eight (48) hour advance notice requirement may be waived if, in the judgment of the supervisor, the leave will not cause undue inconvenience to the EMPLOYER. The EMPLOYER shall respond in writing to written employee vacation requests within seven (7) calendar days of the date the request is received provided the request is received by the EMPLOYER at least seven (7) calendar days prior to the beginning of the requested vacation period. Such EMPLOYER approval must be received by the employee in order for such vacation request to be considered approved. Vacations, once approved, shall not be cancelled by the EMPLOYER except for unforeseen circumstances.
- Section 5.** When it is necessary for the EMPLOYER to disapprove vacation leave requests because the number of employees requesting leave exceeds the number of employees the EMPLOYER determines it possible to grant such vacation leave, the EMPLOYER shall consider seniority, job assignment and order of submission in granting such requests.
- Section 6.** Upon the complete separation of employment, employees shall be eligible to receive their unused accumulated vacation as a severance payment. Any vacation severance shall be paid at the employee's BASE PAY RATE at the time of separation, and shall be subject to the limitations on severance payment stated in the Article herein titled "Severance Pay."

- Section 7.** Employees may use accumulated vacation as an extension of sick leave, provided all sick leave has been exhausted. Vacation utilized as an extension of sick leave shall be subject to the same conditions regulating the use of sick leave.
- Section 8.** At the discretion of the Department Director, employees hired after December 18, 2001, may receive vacation accrual rate credit for previous relevant experience with another employer. Further, at the discretion of the Department Director, additional vacation accrual may be granted for the purposes of retaining a valuable employee.
- Section 9.** Vacation Cash Out. Pursuant to Internal Revenue Service Rules and Regulations, employees may annually, with the approval of the County Administrator, cash-out, up to forty (40) hours of vacation. In order to convert such vacation to cash, the employee must, during Open Enrollment of the payroll year PRIOR to cash out, submit to the EMPLOYER on-line, the specific number of vacation hours requested for cash out. The EMPLOYER shall convert such vacation to cash at a designated time during the payroll year following receipt of the irrevocable election. At the employee's option, he/she may deposit all or part of this cash into a deferred compensation account.

ARTICLE 11B – PAID TIME OFF (PTO)

- Section 1.** Employees Hired On or After December 21, 2009:
Employees hired on or after December 21, 2009 may choose either paid time off (PTO) or Vacation/Sick Leave described in Articles 11A and 12A of this AGREEMENT. This choice shall initially be made during the first two payroll periods of employment. Failure to make a definitive choice between paid time off (PTO) and Vacation/Sick will result in the new employee receiving Vacation/Sick. The paid time off (PTO) program available to such employees shall be that described in Schedule 1, below:

Employees hired on or after December 21, 2009 who initially elect Vacation/Sick may, at any time choose to move from the traditional Vacation/Sick to paid time off (PTO) as described in Schedule 1 below. This one-time choice to PTO shall be irrevocable.

Paid Time Off (PTO) Schedule 1

<u>Total Number of Eligible Years Since Most Recent Date of Hire</u>	<u>Annual PTO Accrual Rate</u>
More than zero (0) months but less than five (5) years	20 days
More than five (5) years but less than eight (8) years	23 days
More than eight (8) years but less than twelve (12) years	26 days
More than twelve (12) years but less than eighteen (18) years	28 days
Over eighteen (18) years	31 Days

- Section 2.** Employees Hired Prior to December 21, 2009.
Employees hired prior to December 21, 2009 may, choose to move from Vacation/Sick Leave as described in Articles 11A and 12A of this AGREEMENT, to paid time off (PTO). This one-time choice shall be irrevocable. The paid time off (PTO) available to such employees shall be that described in Schedule 2, below:

Paid Time Off (PTO) Schedule 2

<u>Total Number of Eligible Years Since Most Recent Date of Hire</u>	<u>Annual PTO Accrual Rate</u>
More than zero (0) months but less than five (5) years	22 days
More than five (5) years but less than eight (8) years	25 days
More than eight (8) years but less than twelve (12) years	28 days
More than twelve (12) years but less than eighteen (18) years	30 days
Over eighteen (18) years	33 days

- Section 3.** Unused paid time off (PTO) hours, which have accrued to the credit of the employee, may be accumulated to a maximum of sixty (60) days (480 hours).
- Section 4.** For employees who choose paid time off (PTO), paid time off (PTO) and vacation hours shall be combined and referred to as paid time off (PTO). However, no employee may accrue more than 480 hours of paid time off (PTO). The EMPLOYER shall not be responsible for managing an employee's paid time off (PTO) balance so as to ensure no loss of benefit because the balance is at or near the 480 hour limit. Correspondingly, the EMPLOYER will not force an employee to take paid time off (PTO) for such purpose.
- Section 5.** Requests for paid time off (PTO) must be submitted to the employee's designated supervisor at least forty-eight (48) hours in advance of the absence requested and are subject to the supervisor's written approval. The forty-eight (48) hour notice requirement may be waived in the event of illness, or if in the judgment of the supervisor, the leave will not cause undue inconvenience to the EMPLOYER. The EMPLOYER shall respond in writing to written employee paid time off (PTO) requests within seven (7) calendar days of the date the request is received provided the request is received by the EMPLOYER at least seven (7) calendar days prior to the beginning of the requested paid time off (PTO) period. Such EMPLOYER approval must be received by the employee in order for such paid time off (PTO) request to be considered approved. Paid time off (PTO), once approved, shall not be cancelled by the EMPLOYER, except for unforeseen circumstances.
- Section 6.** When it is necessary for the EMPLOYER to disapprove paid time off (PTO) leave requests because the number of employees requesting leave exceeds the number of employees the EMPLOYER determines it possible to grant such paid time off, the EMPLOYER shall consider seniority, job assignment and order of submission in granting such requests.
- Section 7.** Upon separation of employment, REGULAR EMPLOYEES shall be eligible to receive their unused accumulated paid time off (PTO) up to a maximum of four-hundred-eighty-(480) hours. Any paid time off (PTO) shall be paid at the employee's BASE PAY RATE at the time of separation and shall be subject to the limitations on severance payment stated in the Article entitled "Severance Pay".
- Section 8.** At the discretion of the Department Director, employees hired after December 21, 2009, may receive paid time off (PTO) accrual rate credit for previous relevant experience with another employer. Further, at the discretion of the Department Director, additional paid time off (PTO) accrual may be granted for purposes of retaining a valuable employee.
- Section 9.** Trade Time for Fitness. Employees may use paid time off (PTO) to pay for approved health and fitness activities to a maximum of \$2,000.00 per payroll year. Where applicable, this language shall be coordinated with Article 12B, Sick Leave, Section 6, to ensure that no employee uses paid time off (PTO) hours and frozen sick leave hours totalling more than \$2,000.00 per payroll year.
- Section 10.** Medical LEAVE OF ABSENCE without pay. An employee who, because of illness or injury, has exhausted all sick leave benefits may be granted a medical LEAVE OF ABSENCE without pay. The seniority status of an employee who is granted a medical LEAVE OF ABSENCE shall be determined in accordance with the provisions of the article herein titled "Seniority/Layoff." An employee requesting a medical LEAVE OF ABSENCE without pay shall be required to furnish conclusive evidence of disability to the EMPLOYER, specifically the Leave and Accommodation Management (LAM) Office. . If the employee fails to furnish conclusive evidence that the absence from duty is necessary, or if the employee fails to undergo an evaluation or furnish a medical report as requested by the EMPLOYER in accordance with the article herein titled "Fitness for Duty", the EMPLOYER shall have the right to require the employee to return to work on a specified date. Should the employee not return to work on such specified date, the employee may be considered to have resigned in accordance with the article herein titled "Absence Without Leave."
- Section 11.** Employees who consistently fail to provide adequate notice prior to the use of paid time off (PTO), shall be subject to disciplinary action or shall be required to submit medical verification attesting to the necessity of the leave from a medical authority.
- Section 12.** If an employee joins the bargaining unit having participated in paid time off (PTO), such employee shall retain paid time off (PTO) at their existing PTO schedule 1 or 2.

- Section 13.** PTO Cash Out. Pursuant to Internal Revenue Service Rules and Regulations, employees may annually, with the approval of the County Administrator, cash-out up to forty (40) hours of Paid Time Off (PTO). In order to convert such PTO to cash, the employee must, during open enrollment of the payroll year PRIOR to cash out, submit to the EMPLOYER online, the specific number of PTO hours requested for cash out. The EMPLOYER shall cash out such PTO at a designated time the year following receipt of the irrevocable election. At the employee's option, he/she may deposit all or part of this cash into a deferred compensation account.

ARTICLE 12A - SICK LEAVE (EMPLOYEES NOT PARTICIPATING IN PTO)

- Section 1.** Sick leave shall be earned by eligible employees who are not participating in paid time off (PTO) and shall be at the rate of .046154 hours for each hour of service except that newly hired, or REHIRED employees who have completed less than six (6) months of service, shall earn sick leave benefits at the rate of .030769 hours for each hour of service.
- Section 2.** Sick leave benefits shall only accrue when an eligible employee is on compensated REGULAR HOURS or, in accordance with state and federal laws, is on approved military leave.
- Section 3.** An eligible employee may accumulate seven hundred twenty (720) hours of sick leave. For every eight (8) hours of sick leave accumulated in excess of seven hundred twenty (720) hours, the employee will be given credit for four (4) hours of additional vacation and four (4) hours of sick leave. Sick leave shall be charged off only for hours that would normally have been worked.
- Section 4.** Upon separation of employment in good standing of any REGULAR EMPLOYEE, such employee shall be paid for his/her accumulated unused sick leave at the employee's BASE PAY RATE subject to the limitations on severance payment stated in the Article herein titled "Severance Pay."
- Section 5.** An employee may utilize their allowance of sick leave if approved by the EMPLOYER for absences necessitated by inability to perform the duties of his/her position by reason of illness or injury, by necessity for medical care or dental care, or by exposure to contagious disease under circumstances in which the health of employees with whom he/she is associated or members of the public with whom he/she deals would be endangered by their attendance on duty, or by illness in their immediate family for such periods as their absence is necessary subject to certification by medical authority.
- The term "immediate family" is limited to child, stepchild, adopted child, foster child, adult child, spouse, sibling, parent, mother-in-law, father-in-law, stepparent, grandparent and an adult person regularly residing in the employee's immediate household.
- Sick leave usage is subject to approval and verification by the EMPLOYER who may, after three (3) consecutive days' absence, require the employee to furnish a report from a recognized physical or mental authority attesting to the necessity of the leave, and other information the EMPLOYER deems necessary, as provided in the Article herein titled "Fitness for Duty." Employees whose use of sick leave is habitual, patterned or inappropriate may be required to submit such report for absences of less than three (3) days duration.
- Section 6.** Sick leave benefits when authorized shall be paid at the employee's current BASE PAY RATE.
- Section 7.** To be eligible for sick leave payment, an employee must notify his/her supervisor or his/her designee as soon as possible but not later than the starting time of his/her scheduled shift. This notice may be waived if the employee can conclusively establish that he/she could not reasonably have been expected to comply with this requirement because of circumstances beyond the control of the employee.
- Section 8.** Medical LEAVE OF ABSENCE. An employee who, because of illness or injury, has exhausted all sick leave benefits may be granted a medical LEAVE OF ABSENCE without pay. The seniority status of an employee who is granted a medical LEAVE OF ABSENCE shall be determined in accordance with the provisions of the Article herein titled "Seniority/Layoff." An employee requesting a medical LEAVE OF ABSENCE without pay shall be required to furnish

conclusive evidence of disability to the EMPLOYER, specifically the Leave and Accommodation Management (LAM) Office. If the employee fails to furnish conclusive evidence that the absence from duty is necessary, or if the employee fails to undergo an evaluation or furnish a medical report as requested by the EMPLOYER in accordance with the Article herein titled "Fitness for Duty," the EMPLOYER shall have the right to require the employee to return to work on a specified date. Should the employee not return to work on such specified date, the employee may be considered to have resigned in accordance with the Article herein titled "Absence Without Leave."

- Section 9.** All sick leave that has been accumulated by an employee (and not paid out as severance) shall be cancelled upon the date of separation from the County service.
- Section 10.** Trade Time for Fitness. Employees may utilize sick leave to pay for approved health and fitness activities, to a maximum of \$2,000.00 per year. Where applicable, this language shall be coordinated with Article 11B, Section 9, Paid Time Off, to ensure that no employee uses paid time off (PTO) hours and frozen sick leave hours totalling more than \$2,000.00 per payroll year.
- Section 11.** When an employee leaves employment with the county and later returns to any position, sick leave hours will not be restored.

ARTICLE 12B – SICK LEAVE (EMPLOYEES WHO CONVERTED TO PTO)

- Section 1.** For employees who participate in paid time off (PTO), sick leave balances, if any, will be frozen. No additional sick leave will accrue.
- Section 2.** Use of frozen sick leave shall be limited to inability to perform the duties of his/her position by reason of illness or injury, by necessity for medical care or dental care, or by exposure to contagious disease under which the health of employees with whom he/she is associated or members of the public with whom he/she deals would be endangered by his/her attendance on duty, or by illness in his/her immediate family for such periods as his/her absence shall be necessary subject to certification by a medical authority.
- Section 3.** Upon separation of employment in good standing of any REGULAR EMPLOYEE, such employee shall be paid for his/her frozen sick leave balance at the employee's BASE PAY RATE subject to the limitations on severance payment stated in the article herein titled "Severance Pay".
- Section 4.** Frozen sick leave benefits, when authorized, shall be paid at the employee's current BASE PAY RATE.
- Section 5.** Employees who participate in the EMPLOYER's paid time off (PTO) Program, shall not accrue sick leave, but rather shall accrue paid time off (PTO) consistent with Article 11B, Paid Time Off (PTO.)
- Section 6.** Trade Time for Fitness. Employees may utilize their frozen sick leave to pay for approved Health and fitness activities to a maximum of \$2,000.00 per year. Where applicable, this language shall be coordinated with Article 11B, Section 9, Paid Time Off, to ensure that no employee uses paid time off (PTO) hours and frozen sick leave hours totaling more than \$2,000.00 per payroll year.

ARTICLE 13 - LEAVES OF ABSENCE

- Section 1.** Except as otherwise provided in this AGREEMENT, written request for leave shall be made by employees prior to the beginning of the period(s) of absence and no payment for any absence shall be made until the leave is properly approved. All LEAVES OF ABSENCE without pay shall be granted at the discretion of the EMPLOYER and must be approved by the EMPLOYER in advance. Upon application by the employee, a LEAVE OF ABSENCE may be extended or renewed at the discretion of the EMPLOYER.

- Section 2.** A request for a medical, FMLA or disability-related LEAVE OF ABSENCE without pay of more than three (3) days must be submitted to the Leave and Accommodation Management (LAM) Office who will provide a leave designation within five (5) days of receipt of appropriate documentation justifying the need for the leave.
- For any other leave of absence requests i.e. requests that do not need to be approved by the LAM Office, authorization for or denial of a requested leave of absence without pay of more than ten (10) working days duration, shall be furnished to the employee in writing by the EMPLOYER within seven (7) working days of its receipt.
- All leave of absence requests shall be given reasonable consideration by the EMPLOYER.
- Section 3.** Deductions from leave accumulations for an employee on leave with pay shall be made on a work shift basis, and no such deductions shall be made from leave accumulations for holidays or non-work days falling within such leave with pay, subject to the provisions set forth in the Article herein titled "Holidays."
- Section 4.** Accrual of vacation, sick leave and paid time off (PTO) during the period of leave of absence with pay shall continue. If an employee is granted leave without pay, he/she will not be credited with vacation or sick leave or paid time off (PTO) accruals for the period of leave without pay with the exception of approved military leave when required by law.
- Section 5.** A LEAVE OF ABSENCE for birth or adoption of a child shall be in accordance with the policy set forth in Section 12, Hours of Work and Leaves of Absence, of the Hennepin County Human Resources Rules.
- Section 6.** All leaves of absence without pay shall be subject to the condition that the EMPLOYER may cancel the leave at any time upon prior notice to the employee specifying a date of termination of the leave. Military leave, leave for purposes of union business or educational leave approved by the EMPLOYER in writing as non-cancelable for a specific duration shall not be subject to such cancellation. Notwithstanding the above, the EMPLOYER, upon prior notice to the employee, may cancel any approved LEAVE OF ABSENCE at any time the EMPLOYER has evidence that the employee is using the leave for purposes other than those specified at the time of approval.
- Section 7.** No LEAVE OF ABSENCE without pay shall be granted for the purpose of accepting or continuing other employment.
- Section 8.** Any employee returning from an approved LEAVE OF ABSENCE as covered by this Article who has complied with all the conditions upon which the leave was approved shall:
- A. Be reinstated in the position held at the time the leave was granted if the leave was for a period of less than six months duration, or
 - B. In the event the position held at the time the leave was granted has been filled or abolished, the employee shall be reinstated to a vacant position for which qualified in the class, bargaining unit and department from which leave was granted, or
 - C. In the event no vacancy exists in the class, bargaining unit and department from which leave was granted, the employee may either exercise County seniority to replace the least senior employee in the class, bargaining unit and department from which the leave was granted, provided the employee is qualified to perform the work of the less senior employee, or if mutually agreeable to the employee and the EMPLOYER, be placed on a LAYOFF list for the class, bargaining unit and department from which leave was granted. The salary rate for an employee reinstated following a LEAVE OF ABSENCE shall be the rate the employee held at the time the leave was granted or such rate as adjusted by a general adjustment to the class.
- Section 9.** At the EMPLOYER's discretion, an employee (a) acting within the limits of the authority established by the EMPLOYER, (b) who receives a disabling injury during the performance of assigned official duties performing acts required by law, (c) under hazardous conditions which are unique to correctional work, (d) wherein the injury is sustained through the aggressive and intentional action of another person not provoked by the employee, and (e) wherein the employee has not contributed to the cause of the injury through gross negligence, may be granted leave with pay for any period of disability provided that such leave with pay shall not exceed one hundred twenty (120) calendar days. Such disabling injury shall be reported to the appointing authority immediately. Request for such leave

shall be presented to the EMPLOYER together with supporting documentation including appropriate physician(s) reports. Such leave, if granted, shall not be charged to normal sick leave and shall be subject to the provisions of the master AGREEMENT Article herein titled "Leave Benefits and Workers' Compensation Benefits."

Section 10. Union Leave. A LEAVE OF ABSENCE for purposes of UNION business shall be in accordance with M.S. 179A.07, subd. 6.

ARTICLE 14 - ABSENCE WITHOUT LEAVE

Any absence of an employee from scheduled duty that has not been previously authorized by the EMPLOYER may be deemed an absence without leave. Any employee absent without leave will be subject to disciplinary action, and any employee absent without leave for three (3) consecutive days may be deemed to have resigned his/her employment, provided that the EMPLOYER may grant approval for leave subsequent to the unauthorized absence if the employee can conclusively establish to the EMPLOYER that the circumstances surrounding the absence and failure to request leave were beyond the employee's control.

ARTICLE 15 - LEAVE BENEFITS AND WORKER'S COMPENSATION BENEFITS

Any employee who by reason of sickness or injury receives worker's compensation benefits may do either of the following:

- A. Retain the worker's compensation benefits and request to be placed on a medical LEAVE OF ABSENCE without pay, or
- B. Retain the worker's compensation benefit and receive from the EMPLOYER any available earned accumulated sick leave, vacation leave, paid time off (PTO) or other accumulated leave benefit.

The total weekly compensation including leave and worker's compensation benefits shall not exceed the regular weekly BASE PAY RATE of an employee.

ARTICLE 16 - BEREAVEMENT LEAVE

When necessary, leave with pay will be granted in cases of death of the following: spouse, parent, parent-in-law, step-parent, children, step-children, grandchildren, brothers and sisters, son-in-law, daughter-in-law, brother and sister-in-law, aunts, uncles, nieces, nephews, grandparents, grandparents-in-law, or person regarded as a member of the employee's immediate family. Such leave shall be subject to approval by the EMPLOYER and limited to a maximum of twenty-four (24) working hours per occurrence not to exceed forty-eight (48) hours in any payroll year. (See also Attachment C).

ARTICLE 17 - MILITARY LEAVE OF ABSENCE WITHOUT PAY

In accordance with the requirements and provisions of state and federal laws, employees shall be entitled to military leaves of absence without pay for services in the armed forces of the United States and reinstatement at the expiration of such leave. Such leave shall be authorized only in cases where the employee has been officially called to active duty in the military service and shall be authorized only as long as the employee is in the service as required by the government.

ARTICLE 18 - MILITARY RESERVE TRAINING

In accordance with state and federal laws, any employee who is a member of any reserve component of the military forces of the United States required by official military orders or related authority to attend Military Reserve training shall receive full wages at his/her current BASE PAY RATE for the period of the active duty required for such training not to exceed fifteen (15) days per payroll year.

ARTICLE 19 - COURT DUTY

Section 1. After due notice to the EMPLOYER, employees subpoenaed to serve as a witness in cases arising from or during the performance of their official duties, or called and selected for jury duty, shall be allowed their regular compensation at their current BASE PAY RATE for the period the court duty requires their absence from work duty, plus any expenses paid by the court. Such employees, so compensated, shall not be eligible to retain jury duty pay or witness fees and shall turn any such pay or fees received over to the EMPLOYER. If an employee is excused from jury duty prior to the end of his/her work shift, he/she shall return to work as directed by the EMPLOYER or make arrangement for a LEAVE OF ABSENCE.

Section 2. Any absence, whether voluntary or by legal order to appear or testify in private litigation, not in the status of an employee but as a plaintiff or defendant, shall not qualify for leave under this Article and shall be charged against accumulated leave or be without pay.

ARTICLE 20 - ELECTION DAYS

An employee who is entitled to vote in any election, as defined in M.S. 204C.04, subd 2, may absent himself/herself from his/her work for the purpose of voting during such election day for a period not to exceed two (2) hours without deduction from salary on account of such absence, provided the employee has made prior arrangements for the absence with the EMPLOYER. Any employee making claim for time off for voting and not casting a ballot or utilizing the time off for unauthorized purposes shall be subject to disciplinary action.

<https://www.revisor.mn.gov/statutes/?id=204C.04>

ARTICLE 21 - TIME OFF FOR SELECTION

Section 1. Employees who have applied for PROMOTION or TRANSFER opportunity and are scheduled to participate in a selection process scheduled during the employee's work time will be granted time off for such purpose if the EMPLOYER determines its service will not be unduly affected by the employee's absence. Employees granted such time off will normally be scheduled to make up the time either before or after the absence provided the makeup time shall not qualify the employee for any premium compensation for which the employee would not otherwise have been eligible. If the EMPLOYER determines it is not practicable to arrange for the time to be made up, the employee shall use earned leave for the absence or, if not available, take it without pay.

Section 2. Subject to the conditions set forth in Section 1 herein, and not more often than twice each calendar year, employees shall be compensated for a selection process administered during the employee's regularly scheduled working hours.

ARTICLE 22 - INSURANCE

Section 1.

A. Employee Contributions toward Health Premiums

Standard Plan

Employee contributions to the plan will be based on the percentage of the total premium per tier shown below for 2019, 2020 and 2021

Employee only	11%
Employee + spouse	25%
Employee + child/ren	25%
Family	24%

Advantage Plan – Fairview/North Memorial/HealthEast OR HealthPartners/Park Nicollet

Employee contributions to the plan will be based on the percentage of the total premium per tier shown below for 2019, 2020 and 2021

Employee only	7%
Employee + spouse	21%
Employee + child/ren	21%
Family	20%

Advantage Plan – HCMC/NorthPoint

Employee contributions to the plan will be based on the percentage of the total premium per tier shown below for 2019, 2020 and 2021

Employee only	3%
Employee + spouse	17%
Employee + child/ren	17%
Family	15%

B. Health Insurance Premium and Plan Design Changes, 2020, 2021, 2022

The parties agree to a consensus decision making model within the context of the existing Labor Management Health Care Committee (LMHCC) for the purpose of setting plan design and premium for the years 2020, 2021 and 2022 as described below, and subject to the consensus parameters agreed to by the parties and incorporated by reference as an extension to this AGREEMENT.

The LMHCC's consensus recommendations will be advisory to the EMPLOYER. If a consensus decision is reached by 8/31 of any given year of the contract, both the UNION and the EMPLOYER agree to be bound by the decision, pending County Administration approval. The consensus recommendation will be submitted to County Administration for final approval.

If a consensus decision is not reached by the LMHCC by 8/31 in any given year of the contract, the EMPLOYER will, in its sole discretion, set the health insurance premiums for each plan and implement plan design changes, if any, for that particular year, after consulting with the third party administrator, benefits consultants, and based on the discussions with and input from LMHCC.

During the last year of the contract, if a consensus decision on plan design and premium or continuation of the consensus model is not reached by the LMHCC by 8/31 of that year, the parties shall revert to the negotiation process as it has in the past. The EMPLOYER shall present their proposal for changes to plan design and premium in the traditional contract negotiation format, after consulting with the third party administrator, benefits consultants, and based on discussions with and input from the LMHCC. Employee contributions for the subsequent AGREEMENT will continue to be subject to negotiations between the parties.

The consensus model described herein will expire on 8/31 of the last year of this AGREEMENT, unless the LMHCC provides a consensus recommendation that it should be continued into the subsequent AGREEMENT.

.C. Health Insurance Provider Tiers for the Standard Plan, 2019, 2020, 2021, 2022

As agreed to in prior contracts, the EMPLOYER will, in its sole authority, determine how many tiers and which providers are included in which tier for the Standard Plan. Any such changes will be shared with the LMHCC group with the driving reason for such change and the financial impact initiating the change.

NOTE: Consistent with previous rounds of bargaining, the health insurance plan design and the provider networks / tiers shall not appear in the labor agreement(s), but rather shall reside on the PreferredOne website (www.preferredone.com/hc).

D. Health Care Plan Reserves Fund

The EMPLOYER, in its sole discretion, will determine if and how many dollars from the Reserves Fund will be utilized.

E. Dependent Eligibility Verification Audit

The parties understand that new employees and those adding dependents not previously audited will continue to be required to provide evidence to establish dependent status.

Section 2. For the duration of the AGREEMENT, benefit-earning EMPLOYEES shall be entitled to participate in the benefits programs listed in this section 2, to the same extent and upon the same terms and conditions as are applicable to all similarly-situated Hennepin County benefit-earning EMPLOYEES. The EMPLOYER may at any time during the term of this Agreement unilaterally amend, modify, improve, discontinue or terminate any of these benefit plans or implement new plans or provisions provided those same changes are made for other similarly-situated benefit-earning EMPLOYEES throughout Hennepin County. The EMPLOYER shall have sole discretion and authority to exercise these rights without any obligation to bargain with the UNION regarding the impact upon EMPLOYEES covered by this AGREEMENT.

Flexible Spending Account - Health Care (optional)
Flexible Spending Account - Dependent Care (optional)
Flexible Spending Account - Adoption Assistance (optional)
Flexible Spending Account – Parking (optional)
Dental Insurance and 40% Subsidy
Vision Insurance (optional)
Basic Life Insurance of \$50,000 (EMPLOYER paid)
Additional Life Insurance (optional)
Spouse/Domestic Partner Life Insurance (optional)
Dependent Life Insurance (optional)
Short Term Disability Plan (optional) – requires standard hours of 30 or more/week
Long Term Disability Plan (auto enrolled, Employer -paid) - requires standard hours of 30 or more/week
Deferred Compensation (optional – does not require employee to be benefit earning)
529 MN College Savings Plan (optional – does not require employee to be benefit earning)
Bus cards with 50% subsidy – (optional does not require employee to be benefit earning)

Section 3. It is expressly understood that the EMPLOYER's obligation in this Article is limited to payment of the specified premium charges for the group insurance coverage as specified herein.

Section 4. The EMPLOYER reserves the right to change insurance carriers or self-insure. If such change of carriers or self-insurance is to result in a change in the level of employee benefits, the EMPLOYER agrees to meet and confer with the UNION on the change.

Section 5 Early Retiree Health Insurance Program (ERHIP) for employees hired before 1/1/08 (see eligibility below).

Subd. 1. Benefit. The EMPLOYER shall provide access to the County's group health insurance program for eligible employees until the end of the month in which the employee turns age 65. An eligible employee shall receive the same County contribution towards the health insurance continuation benefit provided for in the ERHIP as though the employee is actively working and has elected single coverage in the County's group health insurance program. An eligible employee may elect to continue coverage under the County's group health insurance program for dependents provided the employee pays 100% of the cost of dependent coverage in addition to any required share of the single premium. The EMPLOYER may establish appropriate policies and procedures to implement and administer the ERHIP that are not inconsistent with the requirements of this section. These include, but are not limited to, the application process and the time period required to apply for ERHIP benefits, the process for remitting premium payments, adding or deleting dependents from coverage or the termination of coverage for the non-payment of premiums.

Subd. 2 Eligibility. Only employees that have County group health insurance coverage in force on the date of employment termination and who were hired by the EMPLOYER before January 1, 2008, are eligible to participate in the ERHIP. Employees newly hired, re-hired on or after January 1, 2008, are ineligible to participate in the ERHIP. To receive the health insurance continuation benefit provided for in the ERHIP, the employee must meet at least one of the following three eligibility requirements:

- A. The eligible employee meets one of the following age and years of service requirements:

<u>Age</u>	<u>Non-Continuous Years of Service</u>
55 but less than 62	20
62 but less than 63	15
63 but less than 64	14
64 but less than 65	13

- B. The eligible employee at the time of retirement qualifies for and applies for a full, unreduced retirement annuity (other than a deferred annuity), based on a minimum of ten (10) years of Hennepin County service, from an approved Minnesota public service retirement program.
- C. The eligible employee at the time of retirement qualifies for and applies for a retirement annuity (other than a deferred annuity), from an approved Minnesota public service retirement program with at least twenty-five (25) years of covered service, at least ten (10) of which must have been with Hennepin County.

- Subd. 3. Opt-out. Employees eligible to participate in the ERHIP may opt out of the program. Employees desiring to opt-out elected in writing prior to July 1, 2008, whether they would maintain their current retiree insurance benefit, or opt out of the ERHIP and participate in the Health Care Savings Plan (HCSP) option. This was a one-time, irrevocable election. Employees who did not make an election in writing prior to July 1, 2008, are deemed to have elected to retain their current retiree insurance benefit under the ERHIP. If an employee who is eligible for ERHIP based on hire date becomes part of the bargaining unit and has not previously had the opportunity to opt-out, such employee shall be given the opportunity at a time which is mutually agreed upon by the EMPLOYER and the UNION.
- Subd. 4. No Guarantee of Future Benefit. Nothing in this section shall be construed to be a guarantee of future retiree health insurance benefits beyond the expiration date of this AGREEMENT. The EMPLOYER and the Union (or in the case of an unit of essential employees, an interest arbitrator) reserve the right during subsequent negotiations to modify, amend, or terminate, in whole or in part, this ERHIP. In the event the union is decertified as the exclusive representative, the EMPLOYER may, at any time after the expiration of this AGREEMENT, modify, amend, or terminate, in whole or in part, this ERHIP.

Section 6. Health Care Savings Plan (HCSP)

- Subd. 1. Establishment of HCSP. A Health Care Savings Plan (HCSP) is established to enable Hennepin County employees to save money on a pre-tax basis to pay post-County employment medical, dental and vision expenses and/or insurance premiums. EMPLOYER and employee contributions designated below shall be deposited with a HCSP provider selected by the EMPLOYER. The EMPLOYER and the HCSP provider may establish appropriate policies and procedures to implement and administer the HCSP that are not inconsistent with the requirements of this section.
- Subd. 2. Eligibility. Only regular and temporary Unclassified benefit-eligible employees are eligible to participate in the HCSP. Employees hired, re-hired on or after January 1, 2008, unrepresented employee newly hired, REHIRED between January 1, 2007, and December 31, 2007, who become part of the bargaining unit after December 31, 2007, and employees that exercised their right to opt-out of the ERHIP, are required to participate in the HCSP. Former Minneapolis Public Library (MPL) employees who exercise their right to opt-out of the ERHIP and participate in the Health Care Savings Plan (HCSP) option shall only have their time spent in service while employed by Hennepin County as a REGULAR or temporary Unclassified EMPLOYEE count towards determining eligibility for the County contribution in subdivision 4.
- Subd. 3. Employee Contribution. Eligible employees shall contribute one percent (1%) of their salary on a per pay period basis to the HCSP.

- Subd. 4. County Contribution The EMPLOYER shall make the following annual contributions to an eligible employee's HCSP account beginning in 2009. The EMPLOYER'S annual lump sum contribution shall be made the second paycheck in February of each year in the amount determined by the service threshold as of December 31 of the same calendar year.

<u>Years of Service</u>	<u>County Annual Contribution</u>
More than 5 years and less than 10 years of full-time equivalent service.	\$500.00 per year
More than 10 years and less than 15 years of full-time equivalent service.	\$600.00 per year
More than 15 years of full-time equivalent service.	\$700.00 per year

ARTICLE 23 - SEVERANCE PAY

- Section 1.** Severance pay shall be paid to REGULAR EMPLOYEES who have completely terminated their employment with the County in good standing and have completed eight (8) years of continuous service with the County. Any employee who has previously received severance pay upon termination of his/her employment shall not again be eligible to accrue any severance pay benefits upon re-employment with the County except for any hours accumulated in excess of the number for which he/she has been previously compensated. Such severance shall be based upon and measured by unused accumulated sick leave and unused accumulated vacation leave accruing to such employee during Hennepin County employment. Severance pay shall not exceed eight hundred (800) hours of the unused accumulated sick leave and unused vacation leave and/or the balance of PTO hours that have accrued to the employee at the date of severance of such employment. Severance pay shall be computed on the basis of the employee's BASE PAY RATE in effect on the date of termination. Severance pay of a deceased employee shall be paid to his/her estate or legal representative.

Employees shall provide the EMPLOYER with two (2) weeks written notice in advance of the date the employee leaves. If an employee fails to provide the required two (2) week notice, the EMPLOYER shall exclude eighty (80) hours of sick leave severance pay to which the employee may be otherwise entitled in accordance with this AGREEMENT.

- Section 2.** All accumulated leave benefits expire upon the date of severance from County service.

- Section 3.** The eligibility provisions of this Article regarding years of service shall not apply to REGULAR EMPLOYEES who die prior to achieving eight (8) years of service with the County.

ARTICLE 24 - RETENTION PAY

- Section 1.** Consistent with the HR Rules, regular and unclassified benefit-earning employees with at least five years of continuous employment* as of December 1 of the current year are eligible to receive retention pay in December.

Years of Employment	Retention Pay
5	\$ 400
6	\$ 480
7	\$ 560
8	\$ 640
9	\$ 720
10	\$ 800
11	\$ 850
12	\$ 900
13	\$ 950
14	\$1000
15	\$1050

16	\$1100
17	\$1150
18 and over	\$1200

*Based on hire date as a regular or unclassified employee (or on hours of eligible service converted to a date in APEX if hired before October 11, 2009).

Federal and state taxes, FICA, Medicare and PERA are withheld from retention (stability) pay.

At the discretion of the EMPLOYER, time on authorized LEAVE OF ABSENCE for education may be included in computing retention compensation.

Such retention payment shall be paid in a lump sum on a December payroll.

- Section 2.** Any employee who by reason of a work related injury receives worker's compensation benefits, shall receive credit for time spent on such medical leave for purposes of retention pay eligibility.
- Section 3.** Any employee upon retiring from County service may be paid the retention payment as of the date of his/her retirement. However, such payment shall be prorated on the number of payroll periods worked during the calendar year in which such employee retired.
- Section 4.** Retention pay may also be paid to survivors in the case of death while the individual is an employee of the County. Such payment shall be prorated on the number of payroll periods worked during the calendar year in which death occurred.

ARTICLE 25 – SHIFT BIDDING/ WORK VACANCIES

Senior qualified employees shall be given shift schedule preference within each housing section. However, seniority-based shift preferences may be suspended (or overridden) to ensure that a minimum of three (3) female officers are assigned to each 12-hour shift pattern, allowing for a minimum complement of two (2) female officers assigned to each shift and an appropriate relief factor. This seniority preference shall be within each classification and housing section based on the employee's initial date of regular appointment to a continuing position in the Adult Corrections Facility Bargaining Unit. Seniority preference by housing section shall be bid each February 1st. Seniority preference bidding shall take place in accordance with SOP's 05-12 Correctional Staff Scheduling.

Employees will be required to demonstrate initial and continuing ability to perform the work on their assigned shift. During the course of the year as vacancies occur within a housing section due to death, retirement, separation or promotion within the housing section where the vacancy occurs, employees will have the opportunity to exercise their classification seniority in filling the shift schedule vacancy. After all the employees in the housing section where the vacancy exists have exercised such seniority for the existing vacancy, employees from other housing sections will be allowed to exercise their seniority to fill the remaining vacancy. However, no vacancies shall be filled under the provisions of this section which would result in layoff of an employee due to BFOQ considerations. Probationary employees will not be included in the shift schedule bidding process as they may be required during their probationary period to work different shifts and days so that the EMPLOYER may fully evaluate their effectiveness during the probationary period.

ARTICLE 26 - WORK RULES

The EMPLOYER may establish and enforce work rules that are not in conflict with this AGREEMENT. A copy of the EMPLOYER's formally established departmental work rules shall be available on or about the work site and during the work shift of employees subject to such rules. Upon request, such rules shall also be made available to the UNION. Revisions to such work rules will be labeled as new or amended and shall be posted or disseminated in advance of their effective date.

ARTICLE 27 - PERFORMANCE EVALUATIONS

- Section 1.** The EMPLOYER shall determine whether an employee is to be granted an in-range salary rate adjustment on a normal performance review interval established by the EMPLOYER for the employee's class. An employee shall not experience loss of such salary increase because completion of the performance evaluation is delayed through no fault of the employee.
- Section 2.** After an evaluation is completed, the employee will be given an (electronic) copy and provided an opportunity to respond to the evaluation in writing and have that response permanently attached to the evaluation. No changes may be made in the evaluation after the employee has received and signed his/her copy.
- Section 3.** When an employee who is eligible for an in-range merit adjustment receives a performance evaluation which results in the employee's not receiving such increase, he/she may request review of this decision by the appointing authority or his/her designee. Such request must be made to the appointing authority within twenty-four (24) calendar days from the date the employee receives the evaluation. If the decision of the appointing authority does not resolve the matter within thirty (30) calendar days following the employee's request for review, the matter may be referred to the Director of Human Resources for review by the Director or his/her designee. Such time limits may be waived by agreement of the parties.

ARTICLE 28 - EDUCATIONAL ASSISTANCE

- Section 1.** Tuition reimbursement shall be provided to employees covered by this collective bargaining AGREEMENT under the same terms and conditions, policies and procedures as the rest of Hennepin County and reflecting a county-wide pool for funding. See [Hennepin County Tuition Reimbursement Policy Frequently Asked Questions](#)
- Section 2.** Where courses are required and certified by the appointing authority as essential to current job performance, such appointing authority shall grant 100% reimbursement for tuition, required fees and required study materials.
- Section 3.** At the request of an employee, an Individual Development Plan shall be established. Any employee making the request shall be provided with paid time to work with their Supervisor or Human Resources to develop a training plan for career development within Hennepin County. Human Resources will be a source of career information, and postings, in which the employee may have an interest. Time allotted for this activity and the training plan adopted shall be subject to mutual agreement of the Employee and Supervisor.

ARTICLE 29 - FITNESS FOR DUTY

When question exists related to appropriate leave administration or work safety to individuals, co-workers or others, the EMPLOYER may require employees to undergo a medical evaluation that will enable the EMPLOYER to determine the employee's fitness for performance of his/her duties. When the EMPLOYER requires an evaluation or report from a medical authority, either the employee's personal or treating authority or the medical authority of the EMPLOYER's selection, the EMPLOYER shall:

- A. Pay the fee charged for such evaluation or report if such is not covered through the health insurance program made available to employees by the EMPLOYER, and
- B. Compensate the employee at his/her BASE PAY RATE for regularly scheduled work time the employee was unable to work due to obtaining the evaluation if the evaluation result is that the employee is found fully fit to perform his/her work duties and responsibilities.

ARTICLE 30 - MEET AND CONFER

- Section 1.** Upon the request of either party, the EMPLOYER and UNION agree that the EMPLOYER and not more than six (6) representatives and not more than six (6) EMPLOYER representatives will participate in a Meet and Confer session each month to discuss issues of concern to either or both of the parties. See also Article 4, Section 3D regarding pay status of union representatives at Meet and Confer.

- A. The parties will Meet and Confer in regards to health of the workforce issues including but not limited to physical, psychological, ergonomics or public health concerns and safety items which are neither negotiable nor subject to the grievance procedure. (See also Attachment D).
- B. The parties will Meet and Confer in regards to workloads/caseloads issues to identify ideal workload or caseload sizes and methods of achieving or working towards ideal workloads/caseloads. It is specifically understood that actual assigned workloads may differ from ideal workloads. The EMPLOYER agrees that ideal or appropriate workloads/caseloads will be a significant consideration when assessing employee work performance.
- C. The parties will use the Meet and Confer process to establish a forum to address dignity and respect in the workplace on an ongoing basis.

Section 2. The parties may agree to conduct additional Meet and Confer sessions within individual departments or divisions. The number, frequency, length, scope and size of such meetings will be determined by AGREEMENT.

Section 3. Upon AGREEMENT of the parties, a Meet and Confer session may include additional representatives from other employee organizations or other outside parties with information to offer that is relevant to a proposed Meet and Confer topic.

Section 4. The parties agree that a specific Meet and Confer session will be scheduled for the purpose of discussing county-wide planning/change initiatives with County Administration. The Labor/Management meetings between County Administration and AFSCME Field Representatives and Local Presidents could be used for this purpose.

Section 5. Attachment D, entitled ARTICLE 31 Meet and Confer Issues, will be placed at the end of the contract and will serve as a reference list of specific topics the EMPLOYER and the UNION agree to discuss during the term of this AGREEMENT. Each agreed-upon item placed on the list will be dated. The list will include active/ongoing Meet and Confer topics as well as inactive topics, including dates of inception where possible.

The Attachment D list will be reviewed and updated as needed during future contract negotiations to assist the parties with accountability for the issues that have been listed for Meet and Confer discussion during the term of a particular AGREEMENT. If the parties agree that a topic placed on the list at an earlier date no longer requires discussion, that topic will be placed on the "inactive list" in attachment D. However, the inactive list remains on Attachment D from contract to contract as a historical record.

Section 6. Nothing in Section 5 regarding Attachment D is intended as a limit upon the parties' ability to introduce any mutually agreed upon topic for discussion at any future Meet and Confer session.

ARTICLE 31 - DISCIPLINE

Section 1. The EMPLOYER will discipline employees in the classified service only for just cause.

Section 2. Discipline, when administered, will be in one or more of the following forms and normally in the following order:

- A. Oral Reprimand
- B. Written Reprimand
- C. Suspension *
- D. Discharge or disciplinary DEMOTION

Section 3. If the EMPLOYER has reason to reprimand any employee, it shall normally not be done in the presence of other employees or the public.

Section 4. Written reprimands, disciplinary suspensions, disciplinary DEMOTIONS or discharge of REGULAR EMPLOYEES are appealable up to and through the arbitration step of the grievance procedure contained in this AGREEMENT.

Section 5. Upon the request of either party, the EMPLOYER and UNION shall make available to each other all information and evidence that will be used to support a written reprimand, suspension or discharge or defense against such action at least seven (7) days prior to the Step 2 meeting of the grievance procedure.

Section 6. Human Resources Employee File

- A. Investigations which do not result in disciplinary actions shall not be entered into the employee's Human Resources employee file. A written record of all disciplinary actions other than oral reprimands shall be entered into the employee's Human Resources employee file. All disciplinary entries in the Human Resources employee file shall normally state the corrective action expected of the employee.
- B. An employee who is reprimanded in writing, suspended, disciplinarily demoted, or discharged shall be furnished with a copy of notice of such disciplinary action.
- C. Upon written request of the employee, a written reprimand shall be removed from the employee's Human Resources employee file if no further disciplinary action has been taken against the employee within two (2) years following the date of the reprimand, or if no disciplinary action has been taken against the employee for the same or related offenses within three (3) years following the date of the reprimand.
- D. Employees shall have access to information contained in their Human Resources employee file in accordance with the provisions of the Data Practices Act, as amended.

Section 7. Union Representation.

Employees will not be questioned concerning an administrative investigation of disciplinary action unless the employee has been given an opportunity to have a union representative present at such questioning. When mutually agreeable, the UNION shall have the right to take up a suspension, DEMOTION, and/or discharge as a grievance at the second step of the grievance procedure, and the matter shall be handled in accordance with this procedure through the arbitration step if deemed necessary.

Section 8. Disciplinary action shall be taken in a timely manner.

Section 9. Grievances relating to this Article shall be initiated by the UNION in Step 2 of the Grievance Procedure.

ARTICLE 32 - HEALTH AND SAFETY

Section 1. One representative appointed by AFSCME Council #5 shall have an opportunity to participate on the EMPLOYER's Environmental Health and Safety Committee. In addition, AFSCME Council #5 shall appoint one representative to each department's Health and Safety team. Such representative shall be an employee of the respective department. The Deputy County Administrator shall be designated ombudsperson for matters relating to Environmental Health and Safety.

Section 2. Upon the request of the UNION, the parties will meet and confer on issues of staff safety and ways to promote safety upon any reduction in staff complement. Such discussions will take place at the next monthly meet and confer session between the parties, or sooner upon the mutual agreement of the parties.

ARTICLE 33 - EMPLOYEE ASSISTANCE

The EMPLOYER shall make available to employees covered by this AGREEMENT the Employee Assistance Program it establishes for County employees and shall provide employees covered by this AGREEMENT with the information distributed to County employees familiarizing them with the program.

ARTICLE 34 - NON-DISCRIMINATION

In accordance with applicable city, state and federal law, all provisions of this AGREEMENT shall be applied equally by the EMPLOYER and the UNION to all employees without discrimination based on race, color, creed, religion, age, sex, disability, marital status, affectional preference, public assistance status, criminal record or national origin. In the event that any of the pertinent antidiscrimination laws are changed during the term of the AGREEMENT to include or exclude a protected class or classes, this AGREEMENT will be applied so as to include or exclude that class or classes within the provisions of this section. In addition, all provisions of this AGREEMENT shall be

applied equally by the EMPLOYER and the UNION to all employees without discrimination as to political or organizational affiliation or membership in the UNION.

ARTICLE 35 - SCOPE OF AGREEMENT

This AGREEMENT shall represent the complete agreement between the UNION and EMPLOYER. The parties acknowledge that during the negotiations which resulted in this AGREEMENT each had the unlimited right and opportunity to make requests and proposals with respect to any subject or matter not removed by law from the area of collective bargaining, and that the complete understandings and agreements arrived at by the parties after the exercise of that right and opportunity are set forth in this AGREEMENT.

Therefore, the EMPLOYER and the UNION, for the life of this AGREEMENT each voluntarily and unqualifiedly waives the right and each agrees that the other shall not be obligated to bargain collectively with respect to any subject or matter referred to or covered in this AGREEMENT or with respect to any subject or matter not specifically referred to or covered in this AGREEMENT, even though such subject or matter may not have been within the knowledge or contemplation of either or both of the parties at the time that they negotiated or signed this AGREEMENT.

ARTICLE 36 - SAVINGS CLAUSE

This AGREEMENT is subject to the laws of the United States, the State of Minnesota, and Hennepin County. In the event any provision of this AGREEMENT shall be held to be contrary to law by a court of competent jurisdiction from whose final judgment or decree no appeal has been taken within the time provided, such provision shall be voided. All other provisions shall continue in full force and effect. Upon written request of either party, the parties shall meet and negotiate on a substitute provision for the voided provision.

ARTICLE 37 – SPECIAL LEAVE WITHOUT PAY

- Section 1.** Employees may participate in a Special Leave Without Pay Program as established by the Hennepin County Board of Commissioners. The Special Leave Without Pay Program period is from date of County Board Approval through December 31, 2021.
- Section 2.** Upon the request of either party, the EMPLOYER and the UNION shall meet and confer on the extension of this *Special* Leave Without Pay Program each year through 2021.
- Section 3.** The EMPLOYER's policy on use of Special Leave Without Pay (SLWOP) provides that employees may use SLWOP in cases where they would otherwise not take the leave. The EMPLOYER will therefore interpret its policy on SLWOP to allow SLWOP for Union Leave and Parenting Leave in cases where the employee would not otherwise take the leave.

ARTICLE 38 - CLOTHING ALLOWANCE

- Section 1.** Employees covered by this AGREEMENT shall be eligible to receive an annual clothing allowance of \$500.00 in 2019, 2020, and 2021 that shall be remitted in equal monthly installments of \$41.67. Employees shall be provided uniform jackets by the EMPLOYER upon such date as an employee's work assignment requires the wearing of such jacket. The type of uniform and condition of wear shall be prescribed by the EMPLOYER.
- Section 2** Upon the successful completion of their initial two month performance review, new employees shall be eligible for \$150 as an initial clothing allowance. Employees shall be provided uniform jackets by the EMPLOYER upon such date as an employee's work assignment requires the wearing of such jacket. The type of uniform and condition of wear shall be prescribed by the EMPLOYER.

ARTICLE 39 - RIGHT OF CONTRACTING SERVICES

- Section 1.** Nothing in this AGREEMENT shall prohibit or restrict the right of the EMPLOYER from contracting with vendors or others for materials or services.

Section 2. In the event the EMPLOYER finds it necessary to subcontract out work now being performed by existing employees that will result in the layoff of employees, the UNION will be notified no less than ninety (90) calendar days in advance of the date the employees will be laid off as a result of the decision to subcontract. During this ninety (90) day period, the EMPLOYER will meet with the UNION and discuss ways and means of minimizing any impact the subcontracting may have on employees.

In the event that existing employees are laid off as a result of the EMPLOYER engaging in a contract for service, the EMPLOYER agrees to make reasonable effort to relocate such employees in other positions for which they are qualified.

ARTICLE 40 - SALARY RATES

Section 1. Employees covered by this AGREEMENT as follows shall be compensated for each hour of service in accordance with the schedule attached at the end of this AGREEMENT:

Section 2. The EMPLOYER shall determine the rate of compensation for each employee within the established salary range for his/her CLASS based upon tenure and quality of performance, provided the EMPLOYER shall have the discretion to grant compensation in excess of the maximum rates shown when the EMPLOYER determines that the performance of any employee warrants any such additional compensation. Newly employed or REHIRED employees shall be eligible to be considered for their first in range merit increase after completing six (6) months of service. Employees shall be eligible to be considered for additional in range merit increases after completing each additional one (1) year of service.

Section 3. Any salary adjustment provided for in this AGREEMENT shall commence on the beginning of the first payroll period after which the employee(s) becomes qualified and authorized to receive the adjustment.

Section 4. The EMPLOYER shall pay to the UNION or its designee \$.39 for each regular hour spent on COMPENSATED PAYROLL STATUS by members of the bargaining unit, including hours paid as severance in accordance with the provisions of Article 23. Such EMPLOYER payment shall be remitted quarterly to the UNION or its designee. Such payment shall be used to provide a dental insurance plan arranged and administered by the UNION.

Section 5. At the discretion of the EMPLOYER and in the event the EMPLOYER encounters difficulty with respect to attraction and/or retention of qualified staff in a particular job classification, the parties by mutual agreement, may negotiate a modified salary schedule or other compensation matters for such classification.

ARTICLE 41 - TRAINING

All new Correctional Officers hired on the basis of a regular appointment to a continuing position shall receive comparable pre-service training.

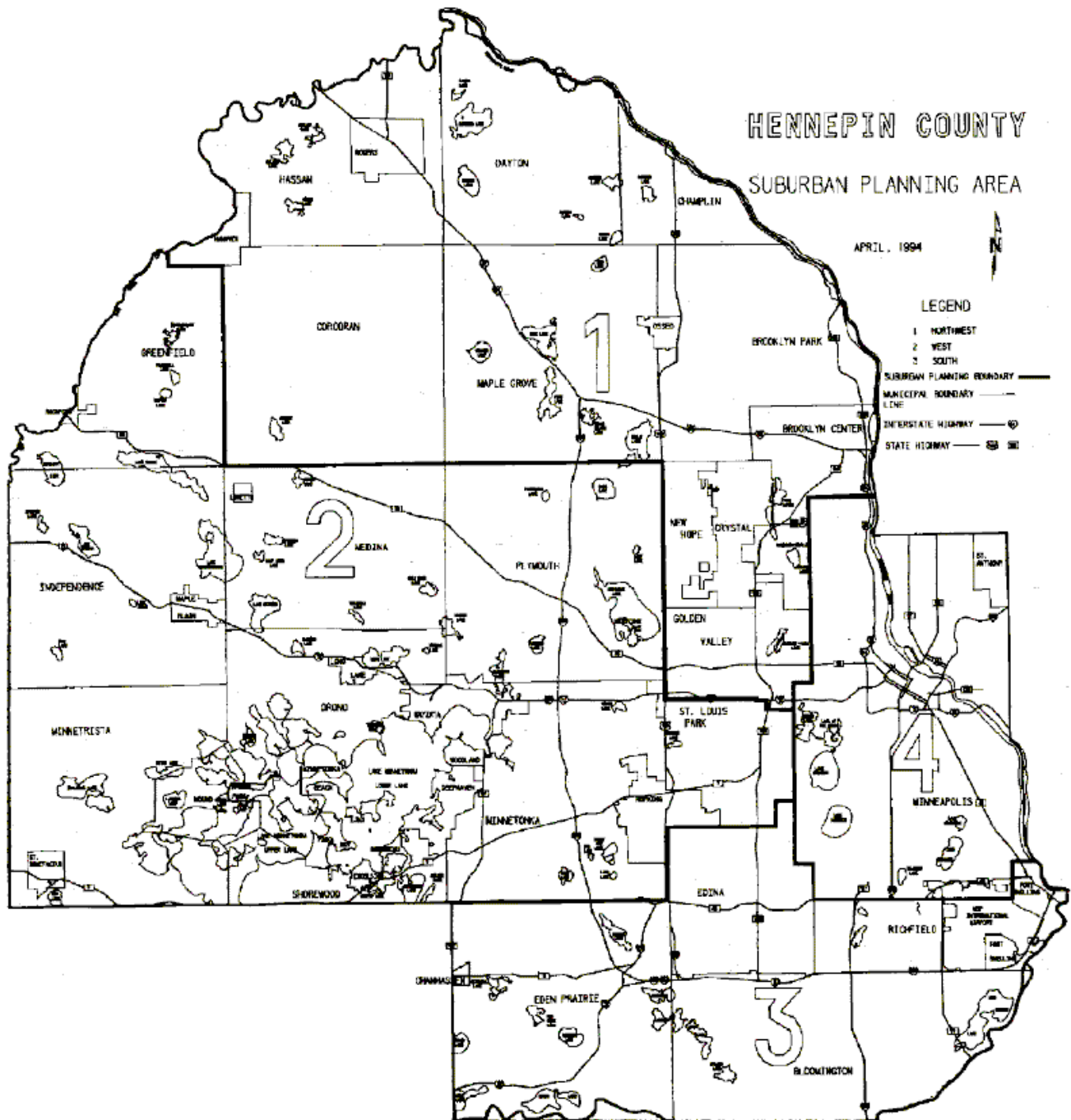
ARTICLE 42 - EFFECTIVE DATES

Except as otherwise provided, all provisions of this AGREEMENT, including the supplemental provisions attached hereto, shall be effective the beginning of the first payroll period following its execution.

ARTICLE 43 - TERM OF AGREEMENT

This AGREEMENT shall be in full force and effect from January 1, 2019, through December 31, 2021, and shall be automatically renewed from year to year thereafter unless either party shall notify the other, in writing, by June 1 prior to the anniversary date that it desires to modify or terminate this AGREEMENT. In witness thereof the parties have caused this AGREEMENT to be executed this ____ day of _____, 2018.

ATTACHMENT A - Hennepin County Map – Geographical areas related to layoff language



ATTACHMENT B

<https://hcconnect.hennepin.us/Pages/Departments.aspx>

As Of AUGUST 2018

The current list of Hennepin Departments is as follows:

Audit, Compliance and Investigation Services	Information Technology
Budget and Finance	Intergovernmental Relations
Center of Innovation and Excellence	Labor Relations
Communications	Library
Community Corrections & Rehabilitation	Medical Examiner
Community Works	NorthPoint Health & Wellness
County Administration	Public Defender's Office
County Attorney's Office	Public Works Financial Services Administration
Emergency Management	Purchasing and Contracts
Environment and Energy	Resident & Real Estate Services
Examiner of Titles	Sheriff's Office
Facility Services	Transportation Operations
Hennepin Health	Transportation Project Delivery
Human Services and Public Health	
Human Resources	

DEPARTMENTS are subject to change by the EMPLOYER as changes in its organization structure occur.

ATTACHMENT C

BEREAVEMENT LEAVE ADMINISTRATION UNDER THE AFSCME CONTRACT

The "Bereavement Leave" Article (Article 16) of the labor agreement between Hennepin County and AFSCME Council #5 provides that EMPLOYEES can receive paid leave to make necessary funeral arrangements and to attend funeral services in the event of a death in the EMPLOYEE'S "immediate family." Article 16 defines "immediate family" for this purpose as comprising the following family members:

"...spouse, parent, step-parent, parent-in-law, children, stepchildren, brothers, brothers-in-law, sisters, sisters-in-law, aunts, uncles, nieces, nephews, grandparents, grandparents-in-law grandchildren, or person regarded as a member of the EMPLOYEE'S immediate family." (Emphasis added.)

The bolded part of the provision cited above first appeared in the 1994-95 labor agreement. The intent of this new provision was to recognize "non-traditional" family relationships that EMPLOYEES might have with persons who do not meet the literal definitions enumerated above, but who fulfill the same roles for the EMPLOYEE. For example, the funeral of an EMPLOYEE'S domestic partner would qualify for paid leave under this language, if the domestic partner's relationship to an unmarried EMPLOYEE is comparable to that of a married EMPLOYEE's spouse. Similarly, such a domestic partner's parents would be analogous to parents-in-law, and the domestic partner's children would be analogous to the EMPLOYEE's children or stepchildren. Another type of qualifying relationship could be the parent of the EMPLOYEE's children (if the parents are not married).

Because the criteria relate to the definition of "immediate family" found in the labor agreement, application of the term must be consistent with the definition found in the agreement. An EMPLOYEE's qualifying "non-traditional" family relationship should virtually be the equivalent of a qualifying "traditional" relationship. There should be a history to the relationship that establishes such equivalency.

It would be inappropriate, for example, for an EMPLOYEE to decide that any funeral qualifies for paid leave under this article, because all humanity is a "family." It would also be inconsistent with the intent of the language for the EMPLOYEE to decide that a friend is the equivalent of a brother or sister (although a friend might qualify as a "brother" if he and the EMPLOYEE grew up together in the same household). Friendship alone is not a defining characteristic of either traditional or nontraditional relationships.

ATTACHMENT D

Meet and Confer Topics

Active:

1. Use of limited duration employees discussion with HR Director
2. ROWE
3. Health Insurance (current employees and during potential lay-off's)
4. Pay equity
5. Sick leave
6. Health care cost containment
7. Budget impacts
8. Technology changes and resulting impact
9. Parenting leave policies and/or child care and elder care concerns
10. Work week schedules
11. Impact of possible legislation on workplace policies, conditions of employment or as needed by a change in law relative to the work of the County.
12. Impact of possible legislation involving the death penalty and/or Roe v. Wade.
13. Family Medical Leave Act
14. Environmental Health and Safety
15. ADA/Workers Compensation
16. Job class flexibility/service integration and productivity (this could include the merging of certain job classes during the life of this agreement)
17. Budget impacts
18. Employee automobile travel expense reimbursement procedures
19. Adequate resources, supplies and tools to support workers in a mobile and regionalized work environment for all staff at all work sites including but not limited to sanitizing wipes; paper towels; wrist rests; monitors; forms; MFD's; phones; etc.
20. Respectful Workplace Internal Audit Team, process and utilization
21. Workloads and performance standards for employees who provide services to clients who use sign language or languages other than English. These discussions shall include the topic of adjusting workload or performance standards to accommodate any difficulties unique to this type of work.

Clarification of Health Insurance Article

December 1, 1995

TO WHOM IT MAY CONCERN:

This letter is to clarify the interpretation of Section 7 of Article 22, Insurance, contained in the collectively bargained agreement between Hennepin County and AFSCME Council 14 for the period January 1996 through December 1997.

The parties to this Agreement acknowledge that there is a mutual duty to bargain regarding a change in the level of health insurance benefits unless such change occurs as a result of a change in insurance carriers or self insurance.

The parties further acknowledge that, pursuant to existing Minnesota Statutes, the aggregate level of benefits provided by a group insurance contract may not be reduced unless the parties to the collective bargaining agreement agree to the reduction in benefits. Under existing statutes, therefore, if a change in insurance carriers or self insurance will result in a reduction in the aggregate level of benefits for employees, such change may not occur without agreement of the parties to the collective bargaining agreement.

Signed by:

John Shabatura
for HENNEPIN COUNTY

Date Signed
December 13, 1995

Stephen Marincel
for AFSCME Council 14

Date Signed
December 14, 1995

Clarification of Seniority Letter

March 8, 1994
Mr. Steve Marincel
Business Representative
AFSCME Council #14
267 Lafayette Frontage Road South
St Paul, MN 55107-1683

Dear Mr. Marincel:

The purpose of this letter is to clarify how the parties shall interpret and administer the Seniority article of our Labor Agreement which reads as follows:

"Employees on layoff will be recalled to fill vacancies in other classes and departments for which qualified, provided they may not exercise seniority rights to create such vacancies. Such employees may waive the recall if the salary rate offered by the EMPLOYER for the position to which recalled is more than twenty (20%) percent below the salary rate of the employee when laid off. The name of an employee so recalled will remain on the layoff list for the class from which laid off, subject to the conditions and limitations set forth in this AGREEMENT."

An employee laid off pursuant to Article 6, Section 4 will be recalled via Section 9 to fill vacancies in other classes and departments for which qualified. The employee must accept such recall unless the pay for the job class to which he/she is recalled is more than 20% below that of the job class from which laid off.

The Employer will first recall such laid off employees to vacancies covered by the Bargaining Unit in question. If no vacancy is available within the bargaining unit, the Employer will recall such employees to a vacancy outside the bargaining unit, if available.

If the vacancy to which an employee is recalled via Section 9 is in a different class than that from which he/she was laid off (either within bargaining unit or outside bargaining unit), the employee will remain on a layoff list for the job class and bargaining unit from which laid off. When a vacancy occurs anywhere in the bargaining unit in the job class from which the employee was laid off, the employee shall be recalled to fill such vacancy subject to seniority provisions. Upon such recall, the employee will be removed from the layoff list.

If after recalling an employee to a vacancy in a different job class from which laid off (via Section 9), a vacancy becomes available in the job class from which the employee was laid off, but such vacancy is outside the bargaining unit, it shall be the Employer's option to assign the employee to such vacancy. If the employee is so assigned, he/she shall remain on a layoff list for the job class from which laid off in the bargaining unit.

If this interpretation is consistent with your understanding of Article 6, Section 9, please so signify by signing below.

Sincerely,

William P. Peters
Labor Relations Representative

Signed by:

Steve Marincel
AFSCME Council #14

LETTER OF UNDERSTANDING

between
AFSCME, LOCAL #1719, ADULT CORRECTIONS UNIT
and
HENNEPIN COUNTY

The parties have reached the following agreements and/or understandings during negotiations on the 2010-2011 Labor Agreement.

1. The parties agree to execute a Memorandum of Understanding regarding the holding of an annual transfer bid in December of each year. This transfer bid would permit up to three (3) Correctional Officers and three (3) Senior Correctional Officers to transfer between the Men's Unit and ACF North on the basis of seniority prior to the shift bid each year.
2. The parties agree to establish a working group in 2010 to address scheduling concerns raised by the Union during this round of bargaining and to examine other scheduling matters. The working group will consist of one representative from Labor Relations; two representatives from the ACF management team appointed by the ACF Superintendent; and two representatives from Local #1719 appointed by the Union. The working group will report its recommendations, if any, to the ACF Superintendent for his consideration.
3. The Union understands that Department of Corrections and Rehabilitation intends to implement a random drug and alcohol testing program during 2010 pursuant to the County's Drug and Alcohol Testing Policy for all safety sensitive positions, including Correctional Officer and Senior Correctional Officer. Prior to its implementation, the parties agree to Meet and Confer regarding the departmental policy that will govern the procedures used to conduct the department's random drug/alcohol testing program.

For the County
Gregory L. Failor
Labor Relations

For the Union
Matthew Nelson
Business Representative
AFSCME Council No. 5

LINKS

Diversity and Inclusion Complaint Procedures: https://hcconnect.hennepin.us/IA/Pages/NDRW_how_to_file_complaint.aspx

Link to Health Plan Design Information: <https://www.hennepin.us/employees/insurance>

Percentage calculator: <http://payraisecalculator.com/>

Consensus Model and LMHCC Structure

Consensus Parameters:

1. For the term of this contract, the scope of the current LMHCC will expand to include consensus decision-making on the topics of plan design and premium, consistent with the consensus parameters established by the parties below.
2. It is understood that the LMHCC will continue to operate as an educational and conversation vehicle year-round, with the consensus process only utilized for decisions related to plan design and premium. While these decisions may come up at any time during the year, it is expected that most of the decisions requiring a consensus will occur late in the summer each year.
3. The parties will engage in a good faith effort to reach a consensus decision on premiums and plan design and realize that this may take several additional meetings in late summer of each year.
4. Attendance at the LMHCC remains available to all current attendees, but each bargaining unit will identify one "consensus representative" (and an alternate) who will be responsible to speak for their bargaining unit on the two consensus issues of premium and plan design. The consensus representative will be polled and must indicate whether or not his/his bargaining unit can support consensus on a plan design or premium issue (or, in the last year of the contract, the continuation of the consensus model into the future contract). In all cases, if a consensus decision is reached, both the union and the county agree to be bound by the decision, pending County Administration approval.
5. There will be a total of 17 eligible "consensus representatives," one representing each bargaining unit, and 8 management members from County Benefits and Labor Relations staff who will also be considered eligible "consensus representatives".
6. One Business Agent or Field Representative/ attorney representative from each unit, as well as a Council 5 staff member may attend LMHCC meetings and may be the consensus representative/ alternate for a particular local if that is the desire of the particular union/local.
7. Representatives (or alternates) to LMHCC must be present at multiple meetings, particularly during July/August of each year, to provide their bargaining unit's opinion on any consensus decision. If a representative/ alternate is not present, the LMHCC will proceed without their input.
8. Early in each year if determined necessary by the parties, a mediator will be asked to train the LMHCC on the concepts of facilitation and to explain how the consensus process differs from a negotiations or a voting process. The parties may also choose to continue to call upon the mediator as a facilitator if needed.
9. All Union representatives and County representatives must be in consensus to reach a decision. The parties understand that a representative's consent to a decision indicates a willingness to accept the decision, not necessarily full endorsement.
10. A consensus decision must be reached by August 31st to allow for appropriate timing of open enrollment.
 - i. If full consensus on premium amount and plan design is reached by August 31st of any year 2019, 2020, 2021, the consensus plan will be submitted to County Administration for final approval.
 - ii. If full consensus on premium amount and plan design is not reached by 8/31 of any year 2019, 2020, 2021, the decision on premium and plan design for that year will revert back exclusively to County Administration. The Labor Relations Director will present to the County any potential items/topics on which consensus was reached as well as the items/topics in dispute.
11. In addition, during the last year of the contract, the LMHCC will attempt to reach a consensus recommendation regarding the premium amount and plan design for the first year of the new contract as well as a consensus decision regarding whether or not to recommend continuation of the LMHCC consensus model.
 - i. If consensus on plan design and premium amount is not reached by 8/31 of the negotiations year, the parties shall revert to the negotiation process as they have in the past. The employer shall present their proposal for changes to plan design and premium in the traditional contract negotiation format.
 - ii. If a consensus on whether or not to continue the consensus model is not reached by 8/31 of the negotiations year, the parties will revert to the negotiation process as they have in the past.
 - iii. In all cases the amount of employee contribution under the new contract remains subject to negotiations.

12. It is understood that the County's recommended rate need for the health plan, as well as the County's assessment of the plan's status vis-a-vis the Cadillac tax is determined in consultation with actuaries and is not subject to the consensus process outlined below. (The parties acknowledge the Cadillac tax will not be a factor during the term of this agreement)
13. Use of the County's reserves or other financial assets is not an appropriate topic for the consensus discussions. The LMHCC is charged with finding a consensus recommendation regarding premium and plan design that does not include the use of reserves. The LMHCC may choose to submit a separate recommendation (or not) regarding the reserves, which County Administration may consider with no obligation to agree. In all cases, the County Administration retains sole discretion on any decision regarding the reserves. Any decision by the County to use reserves in the future will be timely shared with the LMHCC in order to incorporate such information into discussions leading to consensus.
14. Neither the consensus process nor a negotiations process will be used for changes mandated by law or a vendor. However, the parties will negotiate the effects of any such changes.
15. The county reserves any and all rights with regard to benefit plan administration and policy unless specifically identified in this document or in the collective bargaining agreement. Nothing herein waives, expressly or implied, the Union's right to negotiate any mandatory subject of bargaining.
16. There is no implied commitment by either party to the consensus process beyond the term of this contract. Prior to August 31 of the last year of the contract, the LMHCC will determine any continuation terms as described above.

Letter of Understanding

8/29/18

Joe Broge

Kate Black

Jolene Catudio

AFSCME Council 5

Dear Joe, Kate, Jolene,

During the course of contract negotiations in the summer of 2018, the parties had conversations about employees who provide training to their coworkers. The union expressed a concern that providing such training could potentially impact an employee's productivity statistics.

As part of those conversations, the parties confirmed that managers and supervisors will keep in mind the amount of training an employee provides their coworkers when evaluating their productivity statistics.

Sincerely,

Katherine L. Megarry

Chief Labor Relations Officer

Letter of Understanding – Traumatic Incidents

8/29/18

Joe Broge

Kate Black

Jolene Catudio

AFSCME Council 5

Dear Joe, Kate, Jolene,

During the course of contract negotiations in the summer of 2018, the parties had extensive conversations about traumatic incidents that occur at the workplace and the impact those incidents have on our employees.

As part of those conversations, the parties confirmed that, upon employee request, managers/supervisors have the ability to allow employees to use sick leave, vacation/PTO and/or SLWOP to take time away from work to recover from a traumatic incident that is above and beyond what is expected as part of their normal work day.

Sincerely,

Katherine L. Megarry

Chief Labor Relations Officer

Salary Chart**2019 Rates**

Job Class	EFFECTIVE DATE	2019 HOURLY RATE MINIMUM	2019 ANNUAL RATE MINIMUM	2019 HOURLY RATE MAXIMUM	2019 ANNUAL RATE MAXIMUM
Correctional Officer	1/6/2019	\$ 22.904000	\$ 47,640.32	\$ 34.355000	\$ 71,458.40
Correctional Officer, Senior	1/6/2019	\$ 24.048000	\$ 50,019.84	\$ 36.073000	\$ 75,031.84
SCOPE Correctional Officer	1/6/2019	\$ 17.800150	\$ 37,024.00	\$ 29.567150	\$ 61,499.36

2020 Rates

Job Class	EFFECTIVE DATE	2020 HOURLY RATE MINIMUM	2020 ANNUAL RATE MINIMUM	2020 HOURLY RATE MAXIMUM	2020 ANNUAL RATE MAXIMUM
Correctional Officer	1/5/2020	\$ 23.476600	\$ 48,832.16	\$ 35.213875	\$ 73,245.12
Correctional Officer, Senior	1/5/2020	\$ 24.649200	\$ 51,269.92	\$ 36.974825	\$ 76,908.00
SCOPE Correctional Officer	1/5/2020	\$ 18.245154	\$ 37,949.60	\$ 30.306329	\$ 63,036.48

2021 Rates

Job Class	EFFECTIVE DATE	2021 HOURLY RATE MINIMUM	2021 ANNUAL RATE MINIMUM	2021 HOURLY RATE MAXIMUM	2021 ANNUAL RATE MAXIMUM
Correctional Officer	1/3/2021	\$ 23.946132	\$ 49,809.76	\$ 35.918153	\$ 74,709.44
Correctional Officer, Senior	1/3/2021	\$ 25.142184	\$ 52,295.36	\$ 37.714322	\$ 78,447.20
SCOPE Correctional Officer	1/3/2021	\$ 18.610057	\$ 38,708.80	\$ 30.912456	\$ 64,296.96

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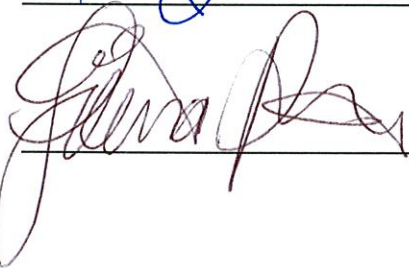
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Signature Page Contract No. 189188

WITNESSES:


HENNEPIN COUNTY





By: 

Chair of its County Board

And: 

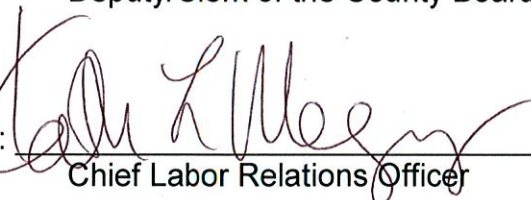
County Administrator

DATE:

1/7/19

ATTEST: 

Deputy/Clerk of the County Board

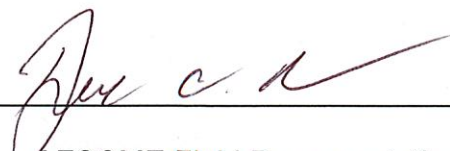
And: 

Chief Labor Relations Officer

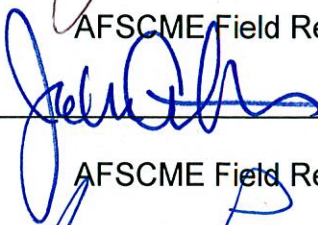
Reviewed by the County
Attorney's Office



AFSCME Council 5, Local 1719

By: 

AFSCME Field Representative



AFSCME Field Representative


AFSCME Local 1719 President

DATE:

Jan 7, 2019